

## 28. PARTICLE DETECTORS

Revised 2007 (see the various sections for authors).

### 28.1. Summary of detector spatial resolution, temporal resolution, and deadtime

In this section we give various parameters for common detector components. The quoted numbers are usually based on typical devices, and should be regarded only as rough approximations for new designs. More detailed discussions of detectors and their underlying physics can be found in books by Ferbel [1], Grupen [2], Kleinknecht [3], Knoll [4], and Green [5]. In Table 28.1 are given typical resolutions and deadtimes of common detectors.

**Table 28.1:** Typical resolutions and deadtimes of common detectors. Revised September 2003 by R. Kadel (LBNL).

Detector Type	Accuracy (rms)	Resolution Time	Dead Time
Bubble chamber	10–150 $\mu\text{m}$	1 ms	50 ms <sup>a</sup>
Streamer chamber	300 $\mu\text{m}$	2 $\mu\text{s}$	100 ms
Proportional chamber	50–300 $\mu\text{m}$ <sup>b,c,d</sup>	2 ns	200 ns
Drift chamber	50–300 $\mu\text{m}$	2 ns <sup>e</sup>	100 ns
Scintillator	—	100 ps/n <sup>f</sup>	10 ns
Emulsion	1 $\mu\text{m}$	—	—
Liquid Argon Drift [Ref. 6]	$\sim 175\text{--}450$ $\mu\text{m}$	$\sim 200$ ns	$\sim 2$ $\mu\text{s}$
Gas Micro Strip [Ref. 7]	30–40 $\mu\text{m}$	< 10 ns	—
Resistive Plate chamber [Ref. 8]	$\lesssim 10$ $\mu\text{m}$	1–2 ns	—
Silicon strip	pitch/(3 to 7) <sup>g</sup>	$h$	$h$
Silicon pixel	2 $\mu\text{m}$ <sup>i</sup>	$h$	$h$

<sup>a</sup> Multiple pulsing time.

<sup>b</sup> 300  $\mu\text{m}$  is for 1 mm pitch.

<sup>c</sup> Delay line cathode readout can give  $\pm 150$   $\mu\text{m}$  parallel to anode wire.

<sup>d</sup> wirespacing/ $\sqrt{12}$ .

<sup>e</sup> For two chambers.

<sup>f</sup>  $n$  = index of refraction.

<sup>g</sup> The highest resolution (“7”) is obtained for small-pitch detectors ( $\lesssim 25$   $\mu\text{m}$ ) with pulse-height-weighted center finding.

<sup>h</sup> Limited by the readout electronics [9]. (Time resolution of  $\leq 25$  ns is planned for the ATLAS SCT.)

<sup>i</sup> Analog readout of 34  $\mu\text{m}$  pitch, monolithic pixel detectors.

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### 28.2. Photon detectors

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Most detectors in high-energy, nuclear, and astrophysics rely on the detection of photons in or near the visible range,  $100 \text{ nm} \lesssim \lambda \lesssim 1000 \text{ nm}$ , or  $E \approx$  a few eV. This range covers scintillation and Cherenkov radiation as well as the light detected in many astronomical observations.

Generally, photodetection involves generating a detectable electrical signal proportional to the (usually very small) number of incident photons. The process involves three distinct steps:

1. Generation of a primary photoelectron or electron-hole ( $e$ - $h$ ) pair by an incident photon by the photoelectric or photoconductive effect,
2. Amplification of the p.e. signal to detectable levels by one or more multiplicative bombardment steps and/or an avalanche process (usually), and,
3. Collection of the secondary electrons to form the electrical signal.

The important characteristics of a photodetector include the following in statistical averages:

1. Quantum efficiency (QE or  $\epsilon_Q$ ): the number of primary photoelectrons generated per incident photon ( $0 \leq \epsilon_Q \leq 1$ ; in silicon more than one  $e$ - $h$  pair per incident photon can be generated for  $\lambda \lesssim 165 \text{ nm}$ ),
2. Collection efficiency (CE or  $\epsilon_C$ ): the overall acceptance factor other than the generation of photoelectrons ( $0 \leq \epsilon_C \leq 1$ ),
3. Gain ( $G$ ): the number of electrons collected for each photoelectron generated,
4. Dark current or dark noise: the electrical signal when there is no photon,
5. Energy resolution: electronic noise (ENC or  $N_e$ ) and statistical fluctuations in the amplification process compound the Poisson distribution of  $n_\gamma$  photons from a given source:

$$\frac{\sigma(E)}{\langle E \rangle} = \sqrt{\frac{f_N}{n_\gamma \epsilon_Q \epsilon_C} + \left( \frac{N_e}{G n_\gamma \epsilon_Q \epsilon_C} \right)^2}, \quad (28.1)$$

where  $f_N$ , or the excess noise factor (ENF), is the contribution to the energy distribution variance due to amplification statistics [10],

6. Dynamic range: the maximum signal available from the detector (this is usually expressed in units of the response to noise-equivalent power, or NEP, which is the optical input power that produces a signal-to-noise ratio of 1),
7. Time dependence of the response: this includes the transit time, which is the time between the arrival of the photon and the electrical pulse, and the transit time spread, which contributes to the pulse rise time and width, and
8. Rate capability: inversely proportional to the time needed, after the arrival of one photon, to get ready to receive the next.

The QE is a strong function of the photon wavelength ( $\lambda$ ), and is usually quoted at maximum, together with a range of  $\lambda$  where the QE is comparable to its maximum.

Spatial uniformity and linearity with respect to the number of photons are highly desirable in a photodetector’s response.

Optimization of these factors involves many trade-offs and vary widely between applications. For example, while a large gain is desirable, attempts to increase the gain for a given device also increases the ENF and after-pulsing (“echos” of the main pulse). In solid-state devices, a higher QE often requires a compromise in the timing properties. In other types, coverage of large areas by focusing increases the transit time spread.

Other important considerations also are highly application-specific. These include the photon flux and wavelength range, the total area to be covered and the efficiency required, the volume available to accommodate the detectors, characteristics of the environment such as chemical composition, temperature, magnetic field, ambient background, as well ambient radiation of different types and, mode of operation (continuous or triggered), bias (high-voltage) requirements, power consumption, calibration needs, aging, cost, and so on. Several technologies employing different phenomena for the three steps described above, and many variants within each, offer a wide range of solutions to choose from. The salient features of the main technologies and the common variants are described below. Some key characteristics are summarized in Table 28.2.

**Table 28.2:** Representative characteristics of some photodetectors commonly used in particle physics. The time resolution of the devices listed here vary in the 10–2000 ps range.

Type	$\lambda$ (nm)	$\epsilon_Q \epsilon_C$	Gain	Risetime (ns)	Area (mm <sup>2</sup> )	1-p.e noise (Hz)	HV (V)	Price (USD)
PMT*	115–1100	0.15–0.25	$10^3$ – $10^7$	0.7–10	$10^2$ – $10^5$	$10$ – $10^4$	500–3000	100–5000
MCP*	100–650	0.01–0.10	$10^3$ – $10^7$	0.15–0.3	$10^2$ – $10^4$	0.1–200	500–3500	10–6000
HPD*	115–850	0.1–0.3	$10^3$ – $10^4$	7	$10^2$ – $10^5$	$10$ – $10^3$	$\sim 2 \times 10^4$	$\sim 600$
GPM*	115–500	0.15–0.3	$10^3$ – $10^6$	$O(0.1)$	$O(10)$	$10$ – $10^3$	300–2000	$O(10)$
APD	300–1700	$\sim 0.7$	$10$ – $10^8$	$O(1)$	$10$ – $10^3$	$1$ – $10^3$	400–1400	$O(100)$
PPD	400–550	0.15–0.3	$10^5$ – $10^6$	$\sim 1$	1–10	$O(10^6)$	30–60	$O(10)$
VLPC	500–600	$\sim 0.9$	$\sim 5 \times 10^4$	$\sim 10$	1	$O(10^4)$	$\sim 7$	$\sim 1$

\*These devices often come in multi-anode configurations. In such cases, area, noise, and price are to be considered on a “per readout-channel” basis.

**28.2.1. Vacuum photodetectors :** Vacuum photodetectors can be broadly subdivided into three types: photomultiplier tubes, microchannel plates, and hybrid photodetectors.

**28.2.1.1. Photomultiplier tubes:** A versatile class of photon detectors, vacuum photomultiplier tubes (PMT) has been employed by a vast majority of all particle physics experiments to date [10]. Both “transmission-” and “reflection-type” PMT’s are widely used. In the former, the photocathode material is deposited on the inside of a transparent window through which the photons enter, while in the latter, the photocathode material

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rests on a separate surface that the incident photons strike. The cathode material has a low work function, chosen for the wavelength band of interest. When a photon hits the cathode and liberates an electron (the photoelectric effect), the latter is accelerated and guided by electric fields to impinge on a secondary-emission electrode, or dynode, which then emits a few ( $\sim 5$ ) secondary electrons. The multiplication process is repeated typically 10 times in series to generate a sufficient number of electrons, which are collected at the anode for delivery to the external circuit. The total gain of a PMT depends on the applied high voltage  $V$  as  $G = AV^{kn}$ , where  $k \approx 0.7\text{--}0.8$  (depending on the dynode material),  $n$  is the number of dynodes in the chain, and  $A$  a constant (which also depends on  $n$ ). Typically,  $G$  is in the range of  $10^5\text{--}10^6$ . Pulse risetimes are usually in the few nanosecond range. With *e.g.* two-level discrimination the effective time resolution can be much better.

A large variety of PMT's, including many just recently developed, covers a wide span of wavelength ranges from infrared (IR) to extreme ultraviolet (XUV) [11]. They are categorized by the window materials, photocathode materials, dynode structures, anode configurations, *etc.* Common window materials are borosilicate glass for IR to near-UV, fused quartz and sapphire ( $\text{Al}_2\text{O}_3$ ) for UV, and  $\text{MgF}_2$  or  $\text{LiF}$  for XUV. The choice of photocathode materials include a variety of mostly Cs- and/or Sb-based compounds such as CsI, CsTe, bi-alkali (SbRbCs, SbKCs), multi-alkali ( $\text{SbNa}_2\text{KCs}$ ),  $\text{GaAs}(\text{Cs})$ ,  $\text{GaAsP}$ , *etc.* Sensitive wavelengths and peak quantum efficiencies for these materials are summarized in Table 28.3. Typical dynode structures used in PMT's are circular cage, line focusing, box and grid, venetian blind, and fine mesh. In some cases, limited spatial resolution can be obtained by using a mosaic of multiple anodes.

PMT's are vulnerable to magnetic fields—sometimes even the geomagnetic field causes large orientation-dependent gain changes. A high-permeability metal shield is often necessary. However, proximity-focused PMT's, *e.g.* the fine-mesh types, can be used even in a high magnetic field ( $\geq 1$  T) if the electron drift direction is parallel to the field.

**28.2.1.2. Microchannel plates:** A typical Microchannel plate (MCP) photodetector consists of one or more  $\sim 2$  mm thick glass plates with densely packed  $O(10\text{ }\mu\text{m})$ -diameter cylindrical holes, or “channels”, sitting between the transmission-type photocathode and anode planes, separated by  $O(1\text{ mm})$  gaps. Instead of discrete dynodes, the inner surface of each cylindrical tube serves as a continuous dynode for the entire cascade of multiplicative bombardments initiated by a photoelectron. Gain fluctuations can be minimized by operating in a saturation mode, whence each channel is only capable of a binary output, but the sum of all channel outputs remains proportional to the number of photons received so long as the photon flux is low enough to ensure that the probability of a single channel receiving more than one photon during a single time gate is negligible. MCP's are thin, offer good spatial resolution, have excellent time resolution ( $\sim 20$  ps), and can tolerate random magnetic fields up to 0.1 T and axial fields up to  $\sim 1$  T. However, they suffer from relatively long recovery time per channel and short lifetime. MCP's are widely employed as image-intensifiers, although not so much in HEP or astrophysics.

**28.2.1.3. Hybrid photon detectors:** Hybrid photon detectors (HPD) combine the sensitivity of a vacuum PMT with the excellent spatial and energy resolutions of a Si sensor [19]. A single photoelectron ejected from the photocathode is accelerated through a potential difference of  $\sim 20$  kV before it impinges on the silicon sensor/anode. The gain nearly equals the maximum number of  $e$ - $h$  pairs that could be created from the entire kinetic energy of the accelerated electron:  $G \approx eV/w$ , where  $e$  is the electronic charge,  $V$  is the applied potential difference, and  $w \approx 3.7$  eV is the mean energy required to create an  $e$ - $h$  pair in Si at room temperature. Since the gain is achieved in a single step, one might expect to have the excellent resolution of a simple Poisson statistic with large mean, but in fact it is even better, thanks to the Fano effect discussed in Sec. 28.8.

Low-noise electronics must be used to read out HPD's if one intends to take advantage of the low fluctuations in gain, *e.g.* when counting small numbers of photons. HPD's can have the same  $\epsilon_Q$   $\epsilon_C$  and window geometries as PMT's and can be segmented down to  $\sim 50$   $\mu\text{m}$ . However, they require rather high biases and will not function in a magnetic field. The exception is proximity-focused devices ( $\Rightarrow$  no (de)magnification) in an axial field. With time resolutions of  $\sim 10$  ps and superior rate capability, proximity-focused HPD's can be an alternative to MCP's. Current applications of HPD's include the CMS hadronic calorimeter and the RICH detector in LHCb. Large-size HPD's with sophisticated focusing may be suitable for future water Cherenkov experiments.

Hybrid APD's (HAPD's) add an avalanche multiplication step following the electron bombardment to boost the gain by a factor of  $\sim 50$ . This affords a higher gain and/or lower electrical bias, but also degrades the signal definition.

**Table 28.3:** Properties of photocathode and window materials commonly used in vacuum photodetectors [11].

Photocathode material	$\lambda$ (nm)	Window material	Peak $\epsilon_Q$ ( $\lambda/\text{nm}$ )
CsI	115–200	MgF <sub>2</sub>	0.15 (135)
CsTe	115–240	MgF <sub>2</sub>	0.18 (210)
Bi-alkali	300–650	Borosilicate	0.27 (390)
	160–650	Quartz	0.27 (390)
Multi-alkali	300–850	Borosilicate	0.20 (360)
	160–850	Quartz	0.23 (280)
GaAs(Cs)*	160–930	Quartz	0.23 (280)
GaAsP(Cs)	300–750	Borosilicate	0.42 (560)

\*Reflection type photocathode is used.

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**28.2.2. Gaseous photon detectors :** In gaseous photomultipliers (GPM) a photoelectron in a suitable gas mixture initiates an avalanche in a high-field region, producing a large number of secondary impact-ionization electrons. In principle the charge multiplication and collection processes are identical to those employed in gaseous tracking detectors such as multiwire proportional chambers, micromesh gaseous detectors (Micromegas), or gas electron multipliers (GEM). These are discussed in Sections 28.7 and 28.7.

The devices can be divided into two types depending on the photocathode material. One type uses solid photocathode materials much in the same way as PMT's. Since it is resistant to gas mixtures typically used in tracking chambers, CsI is a common choice. In the other type, photoionization occurs on suitable molecules vaporized and mixed in the drift volume. Most gases have photoionization work functions in excess of 10 eV, which would limit their sensitivity to wavelengths far too short. However, vapors of TMAE (tetrakis dimethyl-amine ethylene) or TEA (tri-ethyl-amine), which have smaller work functions (5.3 eV for TMAE and 7.5 eV for TEA), are suited for XUV photon detection [12]. Since devices like GEM's offer sub-mm spatial resolution, GPM's are often used as position-sensitive photon detectors. They can be made into flat panels to cover large areas ( $O(1 \text{ m}^2)$ ), can operate in high magnetic fields, and are relatively inexpensive. Many of the ring imaging Cherenkov (RICH) detectors to date have used GPM's for the detection of Cherenkov light [13]. Special care must be taken to suppress the photon-feedback process in GPM's. It is also important to maintain high purity of the gas as minute traces of  $O_2$  can significantly degrade the detection efficiency.

**28.2.3. Solid-state photon detectors :** In a phase of rapid development, solid-state photodetectors are competing with vacuum- or gas-based devices for many existing applications and making way for a multitude of new ones. Compared to traditional vacuum- and gaseous photodetectors, solid-state devices are more compact, lightweight, rugged, tolerant to magnetic fields, and often cheaper. They also allow fine pixelization, are easy to integrate into large systems, and can operate at low electric potentials, while matching or exceeding most performance criteria. They are particularly well suited for detection of  $\gamma$ - and X-rays. Except for applications where coverage of very large areas or dynamic range is required, solid-state detectors are proving to be the better choice. Some hybrid devices attempt to combine the best features of different technologies while applications of nanotechnology are opening up exciting new possibilities.

Silicon photodiodes (PD) are widely used in high-energy physics as particle detectors and in a great number of applications (including solar cells!) as light detectors. The structure is discussed in some detail in Sec. 28.8. In its simplest form, the PD is a reverse-biased  $p$ - $n$  junction. Photons with energies above the indirect bandgap energy (wavelengths shorter than about 1050 nm, depending on the temperature) can create  $e$ - $h$  pairs (the photoconductive effect), which are collected on the  $p$  and  $n$  sides, respectively. Often, as in the PD's used for crystal scintillator readout in CLEO, L3, Belle, BaBar, and GLAST, intrinsic silicon is doped to create a  $p$ - $i$ - $n$  structure. The reverse bias increases the thickness of the depleted region; in the case of these particular detectors, to full depletion at a depth of about 100  $\mu\text{m}$ . Increasing the depletion depth decreases the capacitance (and hence electronic noise) and extends the red response. Quantum

efficiency can exceed 90%, but falls toward the red because of the increasing absorption length of light in silicon. The absorption length reaches  $100\ \mu\text{m}$  at 985 nm. However, since  $G = 1$ , amplification is necessary. Optimal low-noise amplifiers are slow, but, even so, noise limits the minimum detectable signal in room-temperature devices to several hundred photons.

Very large arrays containing  $O(10^7)$  of  $O(10\ \mu\text{m}^2)$ -sized photodiodes pixelizing a plane are widely used to photograph all sorts of things from everyday subjects at visible wavelengths to crystal structures with X-rays and astronomical objects from infrared to UV. To limit the number of readout channels, these are made into charge-coupled devices (CCD), where pixel-to-pixel signal transfer takes place over thousands of synchronous cycles with sequential output through shift registers [14]. Thus, high spatial resolution is achieved at the expense of speed and timing precision. Custom-made CCD's have virtually replaced photographic plates and other imagers for astronomy and in spacecraft. Typical QE's exceed 90% over much of the visible spectrum, and "thick" CCD's have useful QE up to  $\lambda = 1\ \mu\text{m}$ . Active Pixel Sensor (APS) arrays with a preamplifier on each pixel and CMOS processing afford higher speeds, but are challenged at longer wavelengths. Much R&D is underway to overcome the limitations of both CCD and CMOS imagers.

In avalanche photodiodes (APD), an exponential cascade of impact ionizations initiated by the initial photogenerated  $e$ - $h$  pair under a large reverse-bias voltage leads to an avalanche breakdown [15]. As a result, detectable electrical response can be obtained from low-intensity optical signals down to single photons. Excellent junction uniformity is critical, and a guard ring is generally used as a protection against edge breakdown. Well-designed APD's, such as those used in CMS' crystal-based electromagnetic calorimeter, have achieved  $\epsilon_Q \epsilon_C \approx 0.7$  with sub-ns response time. The sensitive wavelength window and gain depend on the semiconductor used. The gain is typically 10–200 in linear and up to  $10^8$  in Geiger mode of operation. Stability and close monitoring of the operating temperature are important for linear-mode operation, and substantial cooling is often necessary. Position-sensitive APD's use time information at multiple anodes to calculate the hit position.

One of the most promising recent developments in the field is that of devices consisting of large arrays ( $O(10^3)$ ) of tiny APD's packed over a small area ( $O(1\ \text{mm}^2)$ ) and operated in a limited Geiger mode [16]. Among different names used for this class of photodetectors, "PPD" (for "Pixelized Photon Detector") is most widely accepted (formerly "SiPM"). Although each cell only offers a binary output, linearity with respect to the number of photons is achieved by summing the cell outputs in the same way as with a MCP in saturation mode (see above). PPD's are being adopted as the preferred solution for various purposes including medical imaging, *e.g.* positron emission tomography (PET). These compact, rugged, and economical devices allow auto-calibration through decent separation of photoelectron peaks and offer gains of  $O(10^6)$  at a moderate bias voltage ( $\sim 50\ \text{V}$ ). However, the single-photoelectron noise of a PPD, being the logical "or" of  $O(10^3)$  Geiger APD's, is rather large:  $O(1\ \text{MHz}/\text{mm}^2)$  at room temperature. PPD's are particularly well-suited for applications where triggered pulses of several photons are expected over a small area, *e.g.* fiber-guided scintillation light. Intense R&D is expected

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to lower the noise level and improve radiation hardness, resulting in coverage of larger areas and wider applications. Attempts are being made to combine the fabrication of the sensors and the front-end electronics (ASIC) in the same process with the goal of making PPD's and other finely pixelized solid-state photodetectors extremely easy to use.

Of late, much R&D has been directed to *p-i-n* diode arrays based on thin polycrystalline diamond films formed by chemical vapor deposition (CVD) on a hot substrate ( $\sim 1000$  K) from a hydrocarbon-containing gas mixture under low pressure ( $\sim 100$  mbar). These devices have maximum sensitivity in the extreme- to moderate-UV region [17]. Many desirable characteristics, including high tolerance to radiation and temperature fluctuations, low dark noise, blindness to most of the solar radiation spectrum, and relatively low cost make them ideal for space-based UV/XUV astronomy, measurement of synchrotron radiation, and luminosity monitoring at (future) lepton collider(s).

Visible-light photon counters (VLPC) utilize the formation of an impurity band only 50 meV below the conduction band in As-doped Si to generate strong ( $G \approx 5 \times 10^4$ ) yet sharp response to single photons with  $\epsilon_Q \approx 0.9$  [18]. The smallness of the band gap considerably reduces the gain dispersion. Only a very small bias ( $\sim 7$  V) is needed, but high sensitivity to infrared photons requires cooling below 10 K. The dark noise increases sharply and exponentially with both temperature and bias. The Run 2 DØ detector uses 86000 VLPC's to read the optical signal from its scintillating-fiber tracker and scintillator-strip preshower detectors.

### 28.3. Organic scintillators

Revised September 2007 by K.F. Johnson (FSU).

Organic scintillators are broadly classed into three types, crystalline, liquid, and plastic, all of which utilize the ionization produced by charged particles (see Sec. 27.2) of this *Review*) to generate optical photons, usually in the blue to green wavelength regions [20]. Plastic scintillators are by far the most widely used. Crystal organic scintillators are practically unused in high-energy physics.

Densities range from 1.03 to 1.20 g cm<sup>-3</sup>. Typical photon yields are about 1 photon per 100 eV of energy deposit [21]. A one-cm-thick scintillator traversed by a minimum-ionizing particle will therefore yield  $\approx 2 \times 10^4$  photons. The resulting photoelectron signal will depend on the collection and transport efficiency of the optical package and the quantum efficiency of the photodetector.

Plastic scintillators do not respond linearly to the ionization density. Very dense ionization columns emit less light than expected on the basis of  $dE/dx$  for minimum-ionizing particles. A widely used semi-empirical model by Birks posits that recombination and quenching effects between the excited molecules reduce the light yield [22]. These effects are more pronounced the greater the density of the excited molecules. Birks' formula is

$$\frac{d\mathcal{L}}{dx} = \mathcal{L}_0 \frac{dE/dx}{1 + k_B dE/dx} ,$$

where  $\mathcal{L}$  is the luminescence,  $\mathcal{L}_0$  is the luminescence at low specific ionization density, and  $k_B$  is Birks' constant, which must be determined for each scintillator by measurement.

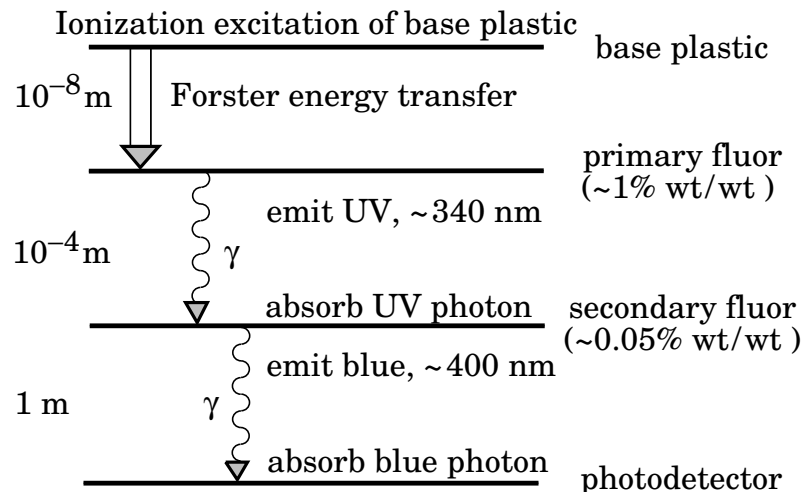


Decay times are in the ns range; rise times are much faster. The combination of high light yield and fast response time allows the possibility of sub-ns timing resolution [23]. The fraction of light emitted during the decay “tail” can depend on the exciting particle. This allows pulse shape discrimination as a technique to carry out particle identification. Because of the hydrogen content (carbon to hydrogen ratio  $\approx 1$ ) plastic scintillator is sensitive to proton recoils from neutrons. Ease of fabrication into desired shapes and low cost has made plastic scintillators a common detector component. Recently, plastic scintillators in the form of scintillating fibers have found widespread use in tracking and calorimetry [24].

### 28.3.1. Scintillation mechanism :

**Scintillation:** A charged particle traversing matter leaves behind it a wake of excited molecules. Certain types of molecules, however, will release a small fraction ( $\approx 3\%$ ) of this energy as optical photons. This process, scintillation, is especially marked in those organic substances which contain aromatic rings, such as polystyrene (PS) and polyvinyltoluene (PVT). Liquids which scintillate include toluene and xylene.

**Fluorescence:** In fluorescence, the initial excitation takes place via the absorption of a photon, and de-excitation by emission of a longer wavelength photon. Fluors are used as “wavelengthshifters” to shift scintillation light to a more convenient wavelength. Occurring in complex molecules, the absorption and emission are spread out over a wide band of photon energies, and have some overlap, that is, there is some fraction of the emitted light which can be re-absorbed [25]. This “self-absorption” is undesirable for detector applications because it causes a shortened attenuation length. The wavelength difference between the major absorption and emission peaks is called the Stokes’ shift. It is usually the case that the greater the Stokes’ shift, the smaller the self absorption—thus, a large Stokes’ shift is a desirable property for a fluor.



**Figure 28.1:** Cartoon of scintillation “ladder” depicting the operating mechanism of plastic scintillator. Approximate fluor concentrations and energy transfer distances for the separate sub-processes are shown.

Scintillators: The plastic scintillators used in high-energy physics are binary or ternary solutions of selected fluors in a plastic base containing aromatic rings. (See the appendix in Ref. 26 for a comprehensive list of components.) Virtually all plastic scintillators contain as a base either PVT or PS. PVT-based scintillator can be up to 50% brighter.

Ionization in the plastic base produces UV photons with short attenuation length (several mm). Longer attenuation lengths are obtained by dissolving a “primary” fluor in high concentration (1% by weight) into the base, which is selected to efficiently re-radiate absorbed energy at wavelengths where the base is more transparent.

The primary fluor has a second important function. The decay time of the scintillator base material can be quite long—in pure polystyrene it is 16 ns, for example. The addition of the primary fluor in high concentration can shorten the decay time by an order of magnitude and increase the total light yield. At the concentrations used (1% and greater), the average distance between a fluor molecule and an excited base unit is around 100 Å, much less than a wavelength of light. At these distances the predominant mode of energy transfer from base to fluor is not the radiation of a photon, but a resonant dipole-dipole interaction, first described by Foerster, which strongly couples the base and fluor [27]. The strong coupling sharply increases the speed and the light yield of the plastic scintillators.

Unfortunately, a fluor which fulfills other requirements is usually not completely adequate with respect to emission wavelength or attenuation length, so it is necessary to add yet another waveshifter (the “secondary” fluor), at fractional percent levels, and occasionally a third (not shown in Fig. 28.1).

External wavelength shifters: Light emitted from a plastic scintillator may be absorbed in a (nonscintillating) base doped with a wave-shifting fluor. Such wavelength shifters are widely used to aid light collection in complex geometries. The wavelength shifter must be insensitive to ionizing radiation and Cherenkov light. A typical wavelength shifter uses an acrylic base because of its good optical qualities, a single fluor to shift the light emerging from the plastic scintillator to the blue-green, and contains ultra-violet absorbing additives to deaden response to Cherenkov light.

**28.3.2. *Caveats and cautions***: Plastic scintillators are reliable, robust, and convenient. However, they possess quirks to which the experimenter must be alert.

Aging and Handling: Plastic scintillators are subject to aging which diminishes the light yield. Exposure to solvent vapors, high temperatures, mechanical flexing, irradiation, or rough handling will aggravate the process. A particularly fragile region is the surface which can “craze”—develop microcracks—which rapidly destroy the capability of plastic scintillators to transmit light by total internal reflection. Crazing is particularly likely where oils, solvents, or *fingerprints* have contacted the surface.

Attenuation length: The Stokes’ shift is not the only factor determining attenuation length. Others are the concentration of fluors (the higher the concentration of a fluor, the greater will be its self-absorption); the optical clarity and uniformity of the bulk material;

the quality of the surface; and absorption by additives, such as stabilizers, which may be present.

Afterglow: Plastic scintillators have a long-lived luminescence which does not follow a simple exponential decay. Intensities at the  $10^{-4}$  level of the initial fluorescence can persist for hundreds of ns [20,28].

Atmospheric quenching: Plastic scintillators will decrease their light yield with increasing partial pressure of oxygen. This can be a 10% effect in an artificial atmosphere [29]. It is not excluded that other gases may have similar quenching effects.

Magnetic field: The light yield of plastic scintillators may be changed by a magnetic field. The effect is very nonlinear and apparently not all types of plastic scintillators are so affected. Increases of  $\approx 3\%$  at 0.45 T have been reported [30]. Data are sketchy and mechanisms are not understood.

Radiation damage: Irradiation of plastic scintillators creates color centers which absorb light more strongly in the UV and blue than at longer wavelengths. This poorly understood effect appears as a reduction both of light yield and attenuation length. Radiation damage depends not only on the integrated dose, but on the dose rate, atmosphere, and temperature, before, during and after irradiation, as well as the materials properties of the base such as glass transition temperature, polymer chain length, *etc.* Annealing also occurs, accelerated by the diffusion of atmospheric oxygen and elevated temperatures. The phenomena are complex, unpredictable, and not well understood [31]. Since color centers are less intrusive at longer wavelengths, the most reliable method of mitigating radiation damage is to shift emissions at every step to the longest practical wavelengths, *e.g.*, utilize fluors with large Stokes' shifts (aka the "Better red than dead" strategy).

### 28.3.3. *Scintillating and wavelength-shifting fibers :*

The clad optical fiber is an incarnation of scintillator and wavelength shifter (WLS) which is particularly useful [32]. Since the initial demonstration of the scintillating fiber (SCIFI) calorimeter [33], SCIFI techniques have become mainstream [34].

SCIFI calorimeters are fast, dense, radiation hard, and can have leadglass-like resolution. SCIFI trackers can handle high rates and are radiation tolerant, but the low photon yield at the end of a long fiber (see below) forces the use of sensitive photodetectors. WLS scintillator readout of a calorimeter allows a very high level of hermeticity since the solid angle blocked by the fiber on its way to the photodetector is very small. The sensitive region of scintillating fibers can be controlled by splicing them onto clear (non-scintillating/non-WLS) fibers.

A typical configuration would be fibers with a core of polystyrene-based scintillator or WLS (index of refraction  $n = 1.59$ ), surrounded by a cladding of PMMA ( $n = 1.49$ ) a few microns thick, or, for added light capture, with another cladding of fluorinated PMMA with  $n = 1.42$ , for an overall diameter of 0.5 to 1 mm. The fiber is drawn from a boule and great care is taken during production to ensure that the intersurface between the core and the cladding has the highest possible uniformity and quality, so that the signal

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transmission via total internal reflection has a low loss. The fraction of generated light which is transported down the optical pipe is denoted the capture fraction and is about 6% for the single-clad fiber and 10% for the double-clad fiber.

The number of photons from the fiber available at the photodetector is always smaller than desired, and increasing the light yield has proven difficult. A minimum-ionizing particle traversing a high-quality 1 mm diameter fiber perpendicular to its axis will produce fewer than 2000 photons, of which about 200 are captured. Attenuation may eliminate 95% of these photons in a large collider tracker.

A scintillating or WLS fiber is often characterized by its “attenuation length,” over which the signal is attenuated to  $1/e$  of its original value. Many factors determine the attenuation length, including the importance of re-absorption of emitted photons by the polymer base or dissolved fluors, the level of crystallinity of the base polymer, and the quality of the total internal reflection boundary. Attenuation lengths of several meters are obtained by high quality fibers. However, it should be understood that the attenuation length is not necessarily a measure of fiber quality. Among other things, it is not constant with distance from the excitation source and it is wavelength dependent. So-called “cladding light” causes some of the distance dependence [35], but not all. The wavelength dependence is usually related to the higher re-absorption of shorter wavelength photons—once absorbed, re-emitted isotropically and lost with 90% probability—and to the lower absorption of longer wavelengths by polystyrene. Experimenters should be aware that measurements of attenuation length by a phototube with a bialkali photocathode, whose quantum efficiency drops below 10% at 480 nm, should not be naïvely compared to measurements utilizing a silicon photodiode, whose quantum efficiency is still rising at 600 nm.

### 28.4. Inorganic scintillators:

Revised September 2007 by R.-Y. Zhu (California Institute of Technology) and C.L. Woody (BNL).

Inorganic crystals form a class of scintillating materials with much higher densities than organic plastic scintillators (typically  $\sim 4\text{--}8\text{ g/cm}^3$ ) with a variety of different properties for use as scintillation detectors. Due to their high density and high effective atomic number, they can be used in applications where high stopping power or a high conversion efficiency for electrons or photons is required. These include total absorption electromagnetic calorimeters (see Sec. 28.10.1), which consist of a totally active absorber (as opposed to a sampling calorimeter), as well as serving as gamma ray detectors over a wide range of energies. Many of these crystals also have very high light output, and can therefore provide excellent energy resolution down to very low energies ( $\sim$  few hundred keV).

Some crystals are intrinsic scintillators in which the luminescence is produced by a part of the crystal lattice itself. However, other crystals require the addition of a dopant, typically fluorescent ions such as thallium (Tl) or cerium (Ce) which is responsible for producing the scintillation light. However, in both cases, the scintillation mechanism is the same. Energy is deposited in the crystal by ionization, either directly by charged

particles, or by the conversion of photons into electrons or positrons which subsequently produce ionization. This energy is transferred to the luminescent centers which then radiate scintillation photons. The efficiency  $\eta$  for the conversion of energy deposit in the crystal to scintillation light can be expressed by the relation [36]

$$\eta = \beta \cdot S \cdot Q . \quad (28.2)$$

where  $\beta$  is the efficiency of the energy conversion process,  $S$  is the efficiency of energy transfer to the luminescent center, and  $Q$  is the quantum efficiency of the luminescent center. The value of  $\eta$  ranges between 0.1 and  $\sim 1$  depending on the crystal, and is the main factor in determining the intrinsic light output of the scintillator. In addition, the scintillation decay time is primarily determined by the energy transfer and emission process. The decay time of the scintillator is mainly dominated by the decay time of the luminescent center. For example, in the case of thallium doped sodium iodide (NaI(Tl)), the value of  $\eta$  is  $\sim 0.5$ , which results in a light output  $\sim 40,000$  photons per MeV of energy deposit. This high light output is largely due to the high quantum efficiency of the thallium ion ( $Q \sim 1$ ), but the decay time is rather slow ( $\tau \sim 250$  ns).

Table 28.4 lists the basic properties of some commonly used inorganic crystal scintillators. NaI(Tl) is one of the most common and widely used scintillators, with an emission that is well matched to a bi-alkali photomultiplier tube, but it is highly hygroscopic and difficult to work with, and has a rather low density. CsI(Tl) has high light yield, an emission that is well matched to solid state photodiodes, and is mechanically robust (high plasticity and resistance to cracking). However, it needs careful surface treatment and is slightly hygroscopic. Compared with CsI(Tl), pure CsI has identical mechanical properties, but faster emission at shorter wavelengths and light output approximately an order of magnitude lower. BaF<sub>2</sub> has a fast component with a sub-nanosecond decay time, and is the fastest known scintillator. However, it also has a slow component with a much longer decay time ( $\sim 630$  ns). Bismuth germanate (Bi<sub>4</sub>Ge<sub>3</sub>O<sub>12</sub> or BGO) has a high density, and consequently a short radiation length  $X_0$  and Molière radius  $R_M$ . BGO's emission is well-matched to the spectral sensitivity of photodiodes, and it is easy to handle and not hygroscopic. Lead tungstate (PbWO<sub>4</sub> or PWO) has a very high density, with a very short  $X_0$  and  $R_M$ , but its intrinsic light yield is rather low. Cerium doped lutetium oxyorthosilicate (Lu<sub>2</sub>SiO<sub>5</sub>:Ce, or LSO:Ce) [37], cerium doped lutetium-yttrium oxyorthosilicate (Lu<sub>2(1-x)</sub>Y<sub>2x</sub>SiO<sub>5</sub>, LYSO:Ce) [38] and cerium doped gadolinium orthosilicate (Gd<sub>2</sub>SiO<sub>5</sub>:Ce, or GSO:Ce) [39] are dense crystal scintillators which have a high light yield and a fast decay time. Only properties of LSO:Ce and GSO:Ce are listed in Table 28.4 since the properties of LYSO:Ce are similar to that of LSO:Ce except a little lower density than LSO:Ce depending on the yttrium fraction in LYSO:Ce [40].

Beside the crystals listed in Table 28.4, a number of new crystals are being developed that may have potential applications in high energy or nuclear physics. Of particular interest is the family of yttrium and lutetium perovskites, which include YAP (YAlO<sub>3</sub>:Ce) and LuAP (LuAlO<sub>3</sub>:Ce) and their mixed compositions. These have been shown to be linear over a large energy range [41], and have the potential for providing extremely good

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intrinsic energy resolution. In addition, other fluoride crystals such as  $\text{CeF}_3$  have been shown to provide excellent energy resolution in calorimeter applications.

Table 28.4 gives the light output of other crystals relative to  $\text{NaI(Tl)}$  and their dependence to the temperature variations measured for crystal samples of  $1.5 X_0$  cube with a Tyvek paper wrapping and a full end face coupled to a photodetector [42]. The quantum efficiencies of the photodetector is taken out to facilitate a direct comparison of crystal's light output. However, the useful signal produced by a scintillator is usually quoted in terms of the number of photoelectrons per MeV produced by a given photodetector. The relationship between the number of photons/MeV produced and photoelectrons/MeV detected involves the factors for the light collection efficiency  $L$  and the quantum efficiency  $QE$  of the photodetector:

$$N_{\text{p.e.}}/\text{MeV} = L \cdot QE \cdot N_{\gamma}/\text{MeV} \quad (28.3)$$

$L$  includes the transmission of scintillation light within the crystal (*i.e.*, the bulk attenuation length of the material), reflections and scattering from the surfaces, and the size and shape of the crystal. These factors can vary considerably depending on the sample, but can be in the range of  $\sim 10$ – $60\%$ . The internal light transmission depends on the intrinsic properties of the material, *e.g.* the density and type of the scattering centers and defects that can produce internal absorption within the crystal, and can be highly affected by factors such as radiation damage, as discussed below.

The quantum efficiency depends on the type of photodetector used to detect the scintillation light, which is typically  $\sim 15$ – $20\%$  for photomultiplier tubes and  $\sim 70\%$  for silicon photodiodes for visible wavelengths. The quantum efficiency of the detector is usually highly wavelength dependent and should be matched to the particular crystal of interest to give the highest quantum yield at the wavelength corresponding to the peak of the scintillation emission. Fig. 28.2 shows the quantum efficiencies of two photodetectors, a Hamamatsu R2059 PMT with bi-alkali cathode and quartz window and a Hamamatsu S8664 avalanche photodiode (APD) as a function of wavelength. Also shown in the figure are emission spectra of three crystal scintillators, BGO, LSO:Ce/LYSO:Ce and  $\text{CsI(Tl)}$ , and the numerical values of the emission weighted quantum efficiency. The area under each emission spectrum is proportional to crystal's light yield, as shown in Table 28.4, where the quantum efficiencies of the photodetector has been taken out. Results with different photodetectors can be significantly different. For example, the response of  $\text{CsI(Tl)}$  relative to  $\text{NaI(Tl)}$  with a standard photomultiplier tube with a bi-alkali photocathode, *e.g.* Hamamatsu R2059, would be 45 rather than 165 because of the photomultiplier's low quantum efficiency at longer wavelengths. For scintillators which emit in the UV, a detector with a quartz window should be used.

One important issue related to the application of a crystal scintillator is its radiation hardness. Stability of its light output, or the ability to track and monitor the variation of its light output in a radiation environment, is required for high resolution and precision calibration [43]. All known crystal scintillators suffer from radiation damage. A common damage phenomenon is the appearance of radiation induced absorption caused by the formation of color centers originated from the impurities or point defects in the crystal. This radiation induced absorption reduces the light attenuation length in the crystal, and

hence its light output. For crystals with high defect density, a severe reduction of light attenuation length may cause a distortion of the light response uniformity, leading to a degradation of the energy resolution. Additional radiation damage effects may include a reduced intrinsic scintillation light yield (damage to the luminescent centers) and an increased phosphorescence (afterglow). For crystals to be used in the construction a high precision calorimeter in a radiation environment, its scintillation mechanism must not be damaged and its light attenuation length in the expected radiation environment must be long enough so that its light response uniformity, and thus its energy resolution, does not change [44].

Most of the crystals listed in Table 28.4 have been used in high energy or nuclear physics experiments when the ultimate energy resolution for electrons and photons is desired. Examples are the Crystal Ball NaI(Tl) calorimeter at SPEAR, the L3 BGO calorimeter at LEP, the CLEO CsI(Tl) calorimeter at CESR, the KTeV CsI calorimeter at the Tevatron, the BaBar and BELLE CsI(Tl) calorimeters at PEP-II and KEK. Because of its high density and low cost, PWO calorimeters are widely used by CMS and ALICE at LHC, by CLAS and PrimEx at CEBAF, and are the leading option for PANDA at GSI. Recently, investigations have been made aiming at using LSO:Ce or LYSO:Ce crystals for future high energy or nuclear physics experiments [40].

## 28.5. Cherenkov detectors

Revised September 2007 by B.N. Ratcliff (SLAC).

Although devices using Cherenkov radiation are often thought of as particle identification (PID) detectors, in practice, they are widely used over a much broader range of applications; including (1) fast particle counters; (2) hadronic particle identification; and (3) tracking detectors performing complete event reconstruction. A few examples of specific applications from each category include; (1) the polarization detector of the SLD [45]; (2) the hadronic PID detectors at the B factory detectors (DIRC in BaBar [8] and the aerogel threshold Cherenkov in Belle [46]) ; and (3) large water Cherenkov counters such as Super-Kamiokande [48]. Cherenkov counters contain two main elements; (1) a radiator through which the charged particle passes, and (2) a photodetector. As Cherenkov radiation is a weak source of photons, light collection and detection must be as efficient as possible. The presence of the refractive index  $n$  and the path length of the particle in the radiator in the Cherenkov relations allows tuning these quantities for a particular experimental application.

Cherenkov detectors utilize one or more of the properties of Cherenkov radiation discussed in the Passages of Particles through Matter section (Sec. 27 of this *Review*): the prompt emission of a light pulse; the existence of a velocity threshold for radiation; and the dependence of the Cherenkov cone half-angle  $\theta_c$  and the number of emitted photons on the velocity of the particle.

The number of photoelectrons ( $N_{\text{p.e.}}$ ) detected in a given device is

$$N_{\text{p.e.}} = L \frac{\alpha^2 z^2}{r_e m_e c^2} \int \epsilon(E) \sin^2 \theta_c(E) dE , \quad (28.4)$$

**Table 28.4:** Properties of several inorganic crystal scintillators. Most of the notation is defined in Sec. 6 of this *Review*.

Parameter:	$\rho$	MP	$X_0^*$	$R_M^*$	$dE/dx$	$\lambda_I^*$	$\tau_{\text{decay}}$	$\lambda_{\text{max}}$	$n^{\ddagger}$	Relative output <sup>†</sup>	Hygro- scopic?	$d(\text{LY})/dT$
Units:	$\text{g/cm}^3$	$^{\circ}\text{C}$	cm	cm	MeV/cm	cm	ns	nm				$\%/^{\circ}\text{C}^{\ddagger}$
NaI(Tl)	3.67	651	2.59	4.13	4.8	42.9	230	410	1.85	100	yes	-0.2
BGO	7.13	1050	1.12	2.23	9.0	22.8	300	480	2.15	21	no	-0.9
BaF <sub>2</sub>	4.89	1280	2.03	3.10	6.6	30.7	630 <sup>s</sup> 0.9 <sup>f</sup>	300 <sup>s</sup> 220 <sup>f</sup>	1.50	36 <sup>s</sup> 3.4 <sup>f</sup>	no	-1.3 <sup>s</sup> $\sim 0^f$
CsI(Tl)	4.51	621	1.86	3.57	5.6	39.3	1300	560	1.79	165	slight	0.3
CsI(pure)	4.51	621	1.86	3.57	5.6	39.3	35 <sup>s</sup> 6 <sup>f</sup>	420 <sup>s</sup> 310 <sup>f</sup>	1.95	3.6 <sup>s</sup> 1.1 <sup>f</sup>	slight	-1.3
PbWO <sub>4</sub>	8.3	1123	0.89	2.00	10.2	20.7	30 <sup>s</sup> 10 <sup>f</sup>	425 <sup>s</sup> 420 <sup>f</sup>	2.20	0.083 <sup>s</sup> 0.29 <sup>f</sup>	no	-2.7
LSO(Ce)	7.40	2050	1.14	2.07	9.6	20.9	40	420	1.82	83	no	-0.2
GSO(Ce)	6.71	1950	1.38	2.23	8.9	22.2	600 <sup>s</sup> 56 <sup>f</sup>	430	1.85	3 <sup>s</sup> 30 <sup>f</sup>	no	-0.1

\* Numerical values calculated using formulae in this review.

$\ddagger$  Refractive index at the wavelength of the emission maximum.

<sup>†</sup> Relative light output measured for samples of 1.5  $X_0$  cube with a Tyvek paper wrapping and a full end face coupled to a photodetector. The quantum efficiencies of the photodetector is taken out.

$\ddagger$  Variation of light yield with temperature evaluated at the room temperature.

$f$  = fast component,  $s$  = slow component

where  $L$  is the path length in the radiator,  $\epsilon(E)$  is the efficiency for collecting the Cherenkov light and transducing it in photoelectrons, and  $\alpha^2/(r_e m_e c^2) = 370 \text{ cm}^{-1} \text{ eV}^{-1}$ .

The quantities  $\epsilon$  and  $\theta_c$  are functions of the photon energy  $E$ . However, since the typical energy dependent variation of the index of refraction is modest, a quantity called the *Cherenkov detector quality factor*  $N_0$  can be defined as

$$N_0 = \frac{\alpha^2 z^2}{r_e m_e c^2} \int \epsilon dE, \quad (28.5)$$

so that

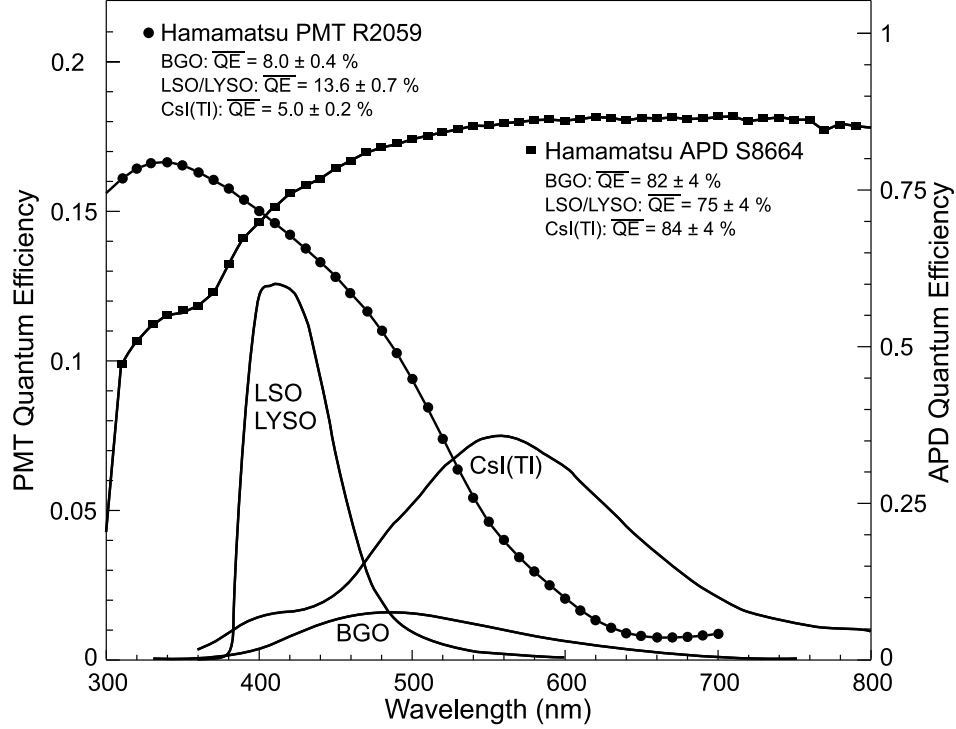
$$N_{\text{p.e.}} \approx L N_0 \langle \sin^2 \theta_c \rangle. \quad (28.6)$$

We take  $z = 1$ , the usual case in high-energy physics, in the following discussion.

This definition of the quality factor  $N_0$  is not universal, nor, indeed, very useful for situations where the geometrical photon collection efficiency ( $\epsilon_{\text{coll}}$ ) varies substantially for



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**Figure 28.2:** The quantum efficiencies of two photodetectors, a Hamamatsu R2059 PMT with bi-alkali cathode and a Hamamatsu S8664 avalanche photodiode (APD), are shown as a function of wavelength. Also shown in the figure are emission spectra of three crystal scintillators, BGO, LSO and CsI(Tl), and the numerical values of the emission weighted quantum efficiency. The area under each emission spectrum is proportional to crystal's light yield.

different tracks. In this case, separate factors for photon collection and detection ( $\epsilon_{\text{det}}$ ), so that  $\epsilon = \epsilon_{\text{coll}}\epsilon_{\text{det}}$ , are sometimes included on the right hand side of the equation. A typical value of  $N_0$  for a photomultiplier (PMT) detection system working in the visible and near UV, and collecting most of the Cherenkov light, is about  $100 \text{ cm}^{-1}$ . Practical counters, utilizing a variety of different photodetectors, have values ranging between about 30 and  $180 \text{ cm}^{-1}$ . Radiators can be chosen from a variety of transparent materials (Sec. 27 of this *Review* and Table 6.1). In addition to refractive index, the choice requires consideration of factors such as material density, radiation length, transmission bandwidth, absorption length, chromatic dispersion, optical workability (for solids), availability, and cost. Long radiator lengths are required to obtain sufficient numbers of photons when the momenta of the particle species to be separated are high. Recently, the gap in refractive index that has traditionally existed between gases and liquid or solid materials has been partially closed with transparent *silica aerogels* with indices that range between about 1.007 and 1.13.

Cherenkov counters may be classified as either *imaging* or *threshold* types, depending on whether they do or do not make use of Cherenkov angle ( $\theta_c$ ) information. Imaging counters may be used to track particles as well as identify them.

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**28.5.1. Threshold counters :** Threshold Cherenkov detectors [49], in their simplest form, make a yes/no decision based on whether the particle is above or below the Cherenkov threshold velocity  $\beta_t = 1/n$ . A straightforward enhancement of such detectors uses the number of observed photoelectrons (or a calibrated pulse height) to discriminate between species or to set probabilities for each particle species [50]. This strategy can increase the momentum range of particle separation by a modest amount (to a momentum some 20% above the threshold momentum of the heavier particle in a typical case).

Careful designs give  $\langle \epsilon_{\text{coll}} \rangle \gtrsim 90\%$ . For a photomultiplier with a typical bialkali cathode,  $\int \epsilon_{\text{det}} dE \approx 0.27$ , so that

$$N_{\text{p.e.}}/L \approx 90 \text{ cm}^{-1} \langle \sin^2 \theta_c \rangle \quad (i.e., N_0 = 90 \text{ cm}^{-1}) . \quad (28.7)$$

Suppose, for example, that  $n$  is chosen so that the threshold for species  $a$  is  $p_t$ ; that is, at this momentum species  $a$  has velocity  $\beta_a = 1/n$ . A second, lighter, species  $b$  with the same momentum has velocity  $\beta_b$ , so  $\cos \theta_c = \beta_a/\beta_b$ , and

$$N_{\text{p.e.}}/L \approx 90 \text{ cm}^{-1} \frac{m_a^2 - m_b^2}{p_t^2 + m_a^2} . \quad (28.8)$$

For  $K/\pi$  separation at  $p = p_t = 1(5) \text{ GeV}/c$ ,  $N_{\text{p.e.}}/L \approx 16(0.8) \text{ cm}^{-1}$  for  $\pi$ 's and (by design) 0 for  $K$ 's.

For limited path lengths  $N_{\text{p.e.}}$  can be small, and a minimum number is required to trigger external electronics. The overall efficiency of the device is controlled by Poisson fluctuations, which can be especially critical for separation of species where one particle type is dominant. The effective number of photoelectrons is often less than the average number calculated above due to additional equivalent noise from the photodetector. It is common to design for at least 10 photoelectrons for the high velocity particle in order to obtain a robust counter. As rejection of the particle that is below threshold depends on *not* seeing a signal, electronic and other background noise can be important. Physics sources of light production for the below threshold particle, such as decay of the above threshold particle or the production of delta rays in the radiator, often limit the separation attainable, and need to be carefully considered. Well designed, modern multi-channel counters, such as the ACC at Belle [46], can attain good particle separation performance over a substantial momentum range for essentially the full solid angle of the spectrometer.

**28.5.2. Imaging counters :** The most powerful use of the information available from the Cherenkov process comes from measuring the ring-correlated angles of emission of the individual Cherenkov photons. Since low-energy photon detectors can measure only the position (and, perhaps, a precise detection time) of the individual Cherenkov photons (not the angles directly), the photons must be “imaged” onto a detector so that their angles can be derived [51]. In most cases the optics map the Cherenkov cone onto (a portion of) a distorted circle at the photodetector. Though this imaging process is directly analogous to the familiar imaging techniques used in telescopes and other optical instruments, there is a somewhat bewildering variety of methods used in a wide variety of counter types with different names. Some of the imaging methods used include (1)

focusing by a lens; (2) proximity focusing (i.e., focusing by limiting the emission region of the radiation); and (3) focusing through an aperture (a pinhole). In addition, the prompt Cherenkov emission coupled with the speed of modern photon detectors allows the use of time imaging, a method which is used much less frequently in conventional imaging technology. Finally, full tracking (and event reconstruction) can be performed in large water counters by combining the individual space position and time of each photon together with the constraint that Cherenkov photons are emitted from each track at a constant polar angle (Sec. 28.6 of this *Review*).

In a simple model of an imaging PID counter, the fractional error on the particle velocity ( $\delta_\beta$ ) is given by

$$\delta_\beta = \frac{\sigma_\beta}{\beta} = \tan \theta_c \sigma(\theta_c) \quad , \quad (28.9)$$

where

$$\sigma(\theta_c) = \frac{\langle \sigma(\theta_i) \rangle}{\sqrt{N_{\text{p.e.}}}} \oplus C \quad , \quad (28.10)$$

where  $\langle \sigma(\theta_i) \rangle$  is the average single photoelectron resolution, as defined by the optics, detector resolution and the intrinsic chromaticity spread of the radiator index of refraction averaged over the photon detection bandwidth.  $C$  combines a number of other contributions to resolution including, (1) correlated terms such as tracking, alignment, and multiple scattering, (2) hit ambiguities, (3) background hits from random sources, and (4) hits coming from other tracks. In many practical cases, the resolution is limited by these effects.

For a  $\beta \approx 1$  particle of momentum ( $p$ ) well above threshold entering a radiator with index of refraction ( $n$ ), the number of  $\sigma$  separation ( $N_\sigma$ ) between particles of mass  $m_1$  and  $m_2$  is approximately

$$N_\sigma \approx \frac{|m_1^2 - m_2^2|}{2p^2 \sigma(\theta_c) \sqrt{n^2 - 1}} \quad . \quad (28.11)$$

In practical counters, the angular resolution term  $\sigma(\theta_c)$  varies between about 0.1 and 5 mrad depending on the size, radiator, and photodetector type of the particular counter. The range of momenta over which a particular counter can separate particle species extends from the point at which the number of photons emitted becomes sufficient for the counter to operate efficiently as a threshold device ( $\sim 20\%$  above the threshold for the lighter species) to the value in the imaging region given by the equation above. For example, for  $\sigma(\theta_c) = 2\text{mrad}$ , a fused silica radiator ( $n = 1.474$ ), or a fluorocarbon gas radiator ( $\text{C}_5\text{F}_{12}$ ,  $n = 1.0017$ ), would separate  $\pi/K$ 's from the threshold region starting around 0.15(3) GeV/ $c$  through the imaging region up to about 4.2(18) GeV/ $c$  at better than  $3\sigma$ .

Many different imaging counters have been built during the last several decades [54]. Among the earliest examples of this class of counters are the very limited acceptance Differential Cherenkov detectors, designed for particle selection in high momentum beam lines. These devices use optical focusing and/or geometrical masking to select particles having velocities in a specified region. With careful design, a velocity resolution of  $\sigma_\beta/\beta \approx 10^{-4}$ – $10^{-5}$  can be obtained [49].

Practical multi-track Ring-Imaging Cherenkov detectors (generically called RICH counters) are a more recent development. They have been built in small-aperture and  $4\pi$  geometries both as PID counters and as stand-alone detectors with complete tracking and event reconstruction as discussed more fully below. PID RICH counters are sometimes further classified by ‘generations’ that differ based on performance, design, and photodetection techniques.

A typical example of a first generation RICH used at the Z factory  $e^+e^-$  colliders [52,53] has both liquid ( $\text{C}_6\text{F}_{14}$ ,  $n = 1.276$ ) and gas ( $\text{C}_5\text{F}_{12}$ ,  $n = 1.0017$ ) radiators, the former being proximity imaged using the small radiator thickness while the latter use mirrors. The phototransducers are a TPC/wire-chamber combination having charge division or pads. They are made sensitive to photons by doping the TPC gas (usually, ethane/methane) with  $\sim 0.05\%$  TMAE (tetrakis(dimethylamino)ethylene). Great attention to detail is required, (1) to avoid absorbing the UV photons to which TMAE is sensitive, (2) to avoid absorbing the single photoelectrons as they drift in the long TPC, and (3) to keep the chemically active TMAE vapor from interacting with materials in the system. In spite of their unforgiving operational characteristics, these counters attained good  $e/\pi/K/p$  separation over wide momentum ranges during several years of operation. In particular, their  $\pi/K$  separation range extends over momenta from about 0.25 to 20 GeV/ $c$ .

Later generation counters [54] generally must operate at much higher particle rates than the first generation detectors, and utilize different photon detection bandwidths, with higher readout channel counts, and faster, more forgiving photon detection technology than the TMAE doped TPCs just described. Radiator choices have broadened to include materials such as lithium fluoride, fused silica, and aerogel. Vacuum based photodetection systems (*e.g.*, single or multi anode photomultiplier tubes (PMT), multi channel plate PMTs (MCP-PMT), or hybrid photodiodes (HPD)) have become increasingly common. They handle very high rates, may be used with a wide choice of radiators, and may be sufficiently fast to allow time imaging or the use of time of flight information. Other fast detection systems that use solid cesium iodide (CSI) photocathodes or triethylamine (TEA) doping in proportional chambers are useful with certain radiator types and geometries.

A DIRC (Detector of Internally Reflected Cherenkov light) is a third generation subtype of a RICH first used in the BaBar detector [47]. It “inverts” the usual principle for use of light from the radiator of a RICH by collecting and imaging the total internally reflected light, rather than the transmitted light. A DIRC utilizes the optical material of the radiator in two ways, simultaneously; first as a Cherenkov radiator, and second, as a light pipe for the Cherenkov light trapped in the radiator by total internal reflection. The DIRC makes use of the fact that the magnitudes of angles are preserved during reflection from a flat surface. This fact, coupled with the high reflection coefficients of the total internal reflection process ( $> 0.9995$  for highly polished  $\text{SiO}_2$ ), and the long attenuation length for photons in high purity fused silica, allows the photons of the ring image to be transported to a detector outside the path of the particle where they may be imaged in up to three dimensions (two in space and one in time). The BaBar DIRC uses 144 fused silica radiator bars ( $1.7 \times 3.5 \times 490$  cm) with the light being focused onto 11 000

conventional PMT's located about 120 cm from the end of the bars by the “pinhole” of the bar end. DIRC performance can be understood using the formula for  $(N_\sigma)$  discussed above. Typically,  $N_{\text{p.e.}}$  is rather large (between 15 and 60) and the Cherenkov polar angle is measured to about 2.5 mrad. The momentum range with good  $\pi/K$  separation extends up to about 4 GeV/ $c$ , matching the  $B$  decay momentum spectrum observed in BaBar.

## 28.6. Cherenkov tracking calorimeters

Written August 2003 by D. Casper (UC Irvine).

In addition to the specialized applications described in the previous section, Cherenkov radiation is also exploited in large, ring-imaging detectors with masses measured in kilotons or greater. Such devices are not subdetector components, but complete experiments with triggering, tracking, vertexing, particle identification and calorimetric capabilities, where the large mass of the transparent dielectric medium serves as an active target for neutrino interactions (or their secondary muons) and rare processes like nucleon decay.

For volumes of this scale, absorption and scattering of Cherenkov light are non-negligible, and a wavelength-dependent factor  $e^{-d/L(\lambda)}$  (where  $d$  is the distance from emission to the sensor and  $L(\lambda)$  is the attenuation length of the medium) must be included in the integral of Eq. (28.4) for the photoelectron yield. The choice of medium is therefore constrained by the refractive index and transparency in the region of photodetector sensitivity; highly-purified water is an inexpensive and effective choice; sea-water, mineral oil, polar ice, and D<sub>2</sub>O are also used. Photo-multiplier tubes (PMTs) on either a volume or surface lattice measure the time of arrival and intensity of Cherenkov radiation. Hemispherical PMTs are favored for the widest angular acceptance, and sometimes mounted with reflectors or wavelength-shifting plates to increase the effective photosensitive area. Gains and calibration curves are measured with pulsed laser signals transmitted to each PMT individually via optical fiber or applied to the detector as a whole through one or more diffusing balls.

Volume instrumentation [55] is only cost-effective at low densities, with a spacing comparable to the attenuation (absorption and scattering) length of Cherenkov light in the medium (15–40 m for Antarctic ice and  $\sim 45$  m in the deep ocean). PMTs are deployed in vertical strings as modular units which include pressure housings, front-end electronics and calibration hardware. The effective photocathode coverage of such arrays is less than 1% but still adequate (using timing information and the Cherenkov angular constraint) to reconstruct the direction of TeV muons to  $1^\circ$  or better. The size of such “neutrino telescopes” is limited only by cost once the technical challenges of deployment, power, signal extraction and calibration in an inaccessible and inhospitable environment are addressed; arrays up to  $(1 \text{ km})^3$  in size are under study or development.

Surface instrumentation [56] allows the target volume to be viewed with higher photocathode density by a number of PMTs which scales like  $(\text{volume})^{2/3}$ . To improve hermeticity and shielding, and to ensure that an outward-going particle's Cherenkov cone illuminates sufficient PMTs for reconstruction, a software-defined fiducial volume begins some distance ( $\sim 2$  m) inside the photosensor surface. Events originating within the

fiducial volume are classified as *fully-contained* if no particles exit the inner detector, or *partially-contained* otherwise. An outer (veto) detector, optically separated from the inner volume and instrumented at reduced density, greatly assists in making this determination and also simplifies the selection of contained events. The maximum size of a pure surface array is limited by the attenuation length ( $\sim 100$  m has been achieved for large volumes using reverse-osmosis water purification), pressure tolerance of the PMTs ( $< 80$  meters of water, without pressure housings) and structural integrity of the enclosing cavity, if underground. In practice, these limitations can be overcome by a segmented design involving multiple modules of the nominal maximum size; megaton-scale devices are under study.

Cherenkov detectors are excellent electromagnetic calorimeters, and the number of Cherenkov photons *produced* by an  $e/\gamma$  is nearly proportional to its kinetic energy. For massive particles, the number of photons produced is also related to the energy, but not linearly. For any type of particle, the *visible energy*  $E_{\text{vis}}$  is defined as the energy of an electron which would produce the same number of Cherenkov photons. The number of photoelectrons *collected* depends on a detector-specific scale factor, with event-by-event corrections for geometry and attenuation. For typical PMTs, in water  $N_{\text{p.e.}} \approx 15 \xi E_{\text{vis}}(\text{MeV})$ , where  $\xi$  is the effective fractional photosensor coverage; for other materials, the photoelectron yield scales with the ratio of  $\sin^2 \theta_c$  over density. At solar neutrino energies, the visible energy resolution ( $\sim 30\%/\sqrt{\xi E_{\text{vis}}(\text{MeV})}$ ) is about 20% worse than photoelectron counting statistics would imply. For higher energies, multi-photoelectron hits are likely and the charge collected by each PMT (rather the number of PMTs *firing*) must be used; this degrades the energy resolution to approximately  $2\%/\sqrt{\xi E_{\text{vis}}(\text{GeV})}$ . In addition, the absolute energy scale must be determined with sources of known energy. Using an electron LINAC and/or nuclear sources, 0.5–1.5% has been achieved at solar neutrino energies; for higher energies, cosmic-ray muons, Michel electrons and  $\pi^0$  from neutrino interactions allow  $\sim 3\%$  absolute energy calibration.

A trigger can be formed by the coincidence of PMTs within a window comparable to the detector's light crossing time; the coincidence level thus corresponds to a visible energy threshold. Physics analysis is usually not limited by the hardware trigger, but rather the ability to reconstruct events. The interaction vertex can be estimated using timing and refined by applying the Cherenkov angle constraint to identified ring edges. Multi-ring events are more strongly constrained, and their vertex resolution is 33–50% better than single rings. Vertex resolution depends on the photosensor density and detector size, with smaller detectors performing somewhat better than large ones ( $\sim 25$  cm is typical for existing devices). Angular resolution is limited by multiple scattering at solar neutrino energies ( $25\text{--}30^\circ$ ) and improves to a few degrees around  $E_{\text{vis}} = 1$  GeV.

A non-showering  $(\mu, \pi^\pm, p)$  track produces a sharp ring with small contributions from delta rays and other radiated secondaries, while the more diffuse pattern of a showering  $(e, \gamma)$  particle is actually the superposition of many individual rings from charged shower products. Using maximum likelihood techniques and the Cherenkov angle constraint, these two topologies can be distinguished with an efficiency which depends on the photosensor density and detector size [57]. This particle identification capability has been confirmed by using cosmic-rays and Michel electrons, as well as charged-particle [58]

and neutrino [59] beams. Large detectors perform somewhat better than smaller ones with identical photocathode coverage; a misidentification probability of  $\sim 0.4\%/\xi$  in the sub-GeV range is consistent with the performance of several experiments for  $4\% < \xi < 40\%$ . Detection of a delayed coincidence from muon decay offers another, more indirect, means of particle identification; with suitable electronics, efficiency approaches 100% for  $\mu^+$  decays but is limited by nuclear absorption (22% probability in water) for  $\mu^-$ .

Reconstruction of multiple Cherenkov rings presents a challenging pattern recognition problem, which must be attacked by some combination of heuristics, maximum likelihood fitting, Hough transforms and/or neural networks. The problem itself is somewhat ill-defined since, as noted, even a single showering primary produces many closely-overlapping rings. For  $\pi^0 \rightarrow \gamma\gamma$  two-ring identification, performance falls off rapidly with increasing  $\pi^0$  momentum, and selection criteria must be optimized with respect to the analysis-dependent cost-function for  $e \leftrightarrow \pi^0$  mis-identification. Two representative cases for  $\xi = 39\%$  will be illustrated. In an atmospheric neutrino experiment, where  $\pi^0$  are relatively rare compared to  $e^\pm$ , one can isolate a  $> 90\%$  pure 500 MeV/ $c$   $\pi^0$  sample with an efficiency of  $\sim 40\%$ . In a  $\nu_e$  appearance experiment at  $E_\nu \leq 1$  GeV, where  $e^\pm$  are rare compared to  $\pi^0$ , a 99% pure 500 MeV/ $c$  electron sample can be identified with an efficiency of  $\sim 70\%$ . For constant  $\xi$ , a larger detector (with, perforce, a greater number of pixels to sample the light distribution) performs somewhat better at multi-ring separation than a smaller one. For a more detailed discussion of event reconstruction techniques, see Ref. 48.

**Table 28.5:** Properties of Cherenkov tracking calorimeters. LSND was a hybrid scintillation/Cherenkov detector; the estimated ratio of isotropic to Cherenkov photoelectrons was about 5:1. MiniBooNE's light yield also includes a small scintillation component.

Detector	Fiducial mass (kton)	PMTs (diameter, cm)	$\xi$	p.e./ MeV	Dates
IMB-1 [60]	3.3 H <sub>2</sub> O	2048 (12.5)	1%	0.25	1982–85
IMB-3 [61]	3.3 H <sub>2</sub> O	2048 (20 +plate)	4.5%	1.1	1987–90
KAM I [62,63]	0.88/0.78 H <sub>2</sub> O	1000/948 (50)	20%	3.4	1983–85
KAM II [64]	1.04 H <sub>2</sub> O	948 (50)	20%	3.4	1986–90
LSND [65]	0.084 oil+scint.	1220 (20)	25%	33	1993–98
SK-1 [66]	22.5 H <sub>2</sub> O	11146 (50)	39%	6	1997–2001
SK-2	22.5 H <sub>2</sub> O	5182 (50)	18%	3	2002–
K2K [67]	0.025 H <sub>2</sub> O	680 (50)	39%	6	1999–
SNO [68]	1.0 D <sub>2</sub> O	9456 (20+cone)	55%	9	1999–
MiniBooNE	0.445 oil	1280 (20)	10%	3–4	2002–

## 28.7. Gas-filled detectors

**28.7.1. Wire chambers :** Written October 1999 by A. Cattai and G. Rolandi (CERN).

A wire chamber relies on the detection of a large fraction of the charge created in a volume filled with an appropriate gas mixture. A charged particle traversing a gas layer of thickness  $\Delta$  produces electron-ion pairs along its path (see Sec. 27.2). The yield ( $1/\lambda$ ) of ionization encounters for a minimum ionization particle (m.i.p.) (see Fig. 27.1) is given in Table 28.6.

	Encounters/cm	$t_{99}(\text{mm})$	Free electrons/cm
He	5	9.2	16
Ne	12	3.8	42
Ar	25	1.8	103
Xe	46	1.0	340
CH <sub>4</sub>	27	1.7	62
CO <sub>2</sub>	35	1.3	107
C <sub>2</sub> H <sub>6</sub>	43	1.1	113

**Table 28.6:** For various gases at STP: (a) yield of ionization encounters ( $1/\lambda$ ) for m.i.p. [69], (b)  $t_{99}$ : thickness of the gas layer for 99% efficiency, and (c) the average number of free electrons produced by a m.i.p. (calculated using data from Ref. 70).

The probability to have at least one ionization encounter is  $1 - \exp(-\Delta/\lambda)$  and the thickness of the gas layer for 99% efficiency is  $t_{99} = 4.6\lambda$ . Depending on the gas, some 65–80% of the encounters result in the production of only one electron; the probability that a cluster has more than five electrons is smaller than 10%. However the tail of the distribution is very long and the yield of ionization electrons is 3–4 times that of the ionization encounters. The secondary ionization happens either in collisions of (primary) ionization electrons with atoms or through intermediate excited states. The process is non-linear and gas mixtures may have larger yields than each of their components. See also the discussion in Sec. 27.7.

Under the influence of electric and magnetic fields the ionization electrons drift inside the gas with velocity  $\mathbf{u}$  given by:

$$\mathbf{u} = \mu |\mathbf{E}| \frac{1}{1 + \omega^2 \tau^2} \left( \hat{\mathbf{E}} + \omega \tau (\hat{\mathbf{E}} \times \hat{\mathbf{B}}) + \omega^2 \tau^2 (\hat{\mathbf{E}} \cdot \hat{\mathbf{B}}) \hat{\mathbf{B}} \right) \quad (28.12)$$

where  $\hat{\mathbf{E}}$  and  $\hat{\mathbf{B}}$  are unit vectors in the directions of the electric and magnetic fields respectively,  $\mu$  is the electron mobility in the gas,  $\omega$  is the cyclotron frequency  $eB/mc$ , and  $\tau = \mu m/e$  is the mean time between collisions of the drifting electrons. The magnitude of the drift velocity depends on many parameters; typical values are in the range 1–8 cm/ $\mu$ s.



In a quite common geometry, the drift electric field is perpendicular to the magnetic field. In this case the electrons drift at an angle  $\psi$  with respect to the electric field direction such that  $\tan \psi = \omega\tau$ .

The ionization electrons are eventually collected by a thin (typically 10  $\mu\text{m}$  radius) anode wire where a strong electric field—increasing as  $1/r$ —accelerates the electrons enough to produce secondary ionization and hence an avalanche. A quenching gas (organic molecules with large photo-absorption cross-section) absorbs the majority of the photons produced during the avalanche development, keeping the avalanche region localized. The gain achievable with a wire counter depends exponentially on the charge density on the wire, on the gas density  $\rho$  and—through it—on pressure and temperature:  $dG/G \approx -Kd\rho/\rho$ , where the coefficient  $K$  ranges between 5 and 8 in practical cases. Gains larger than  $10^4$  can be obtained in proportional mode.

The electrons produced in the avalanche are collected by the wire in a few nanoseconds. The positive ions move away from the wire and generate a signal that can be detected with an amplifier. Depending on whether the wire is treated as a current source or a voltage source, the signal is described respectively by:

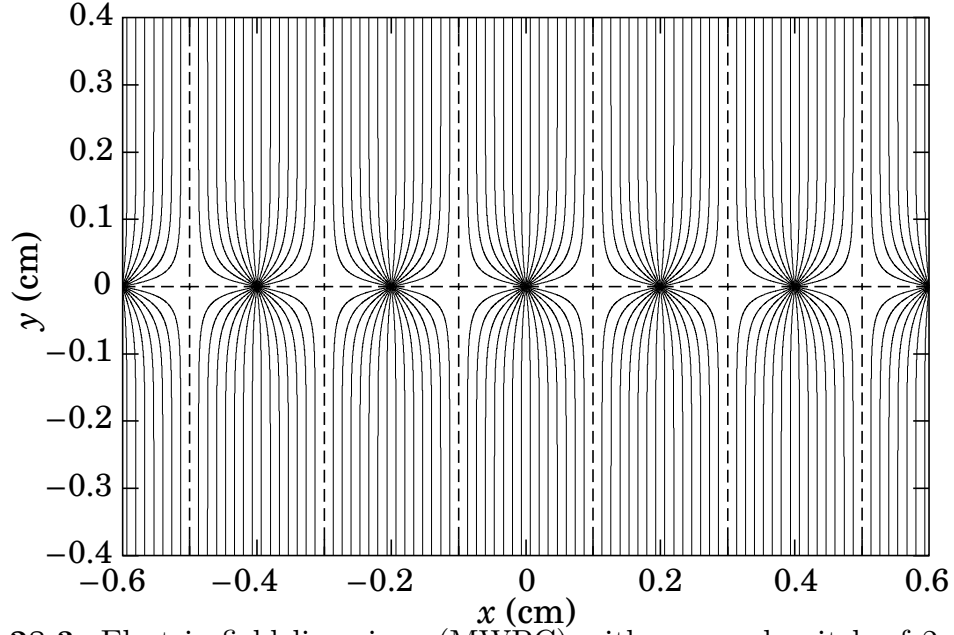
$$I(t) = q \frac{d}{dt} F(t) ; \quad \Delta V(t) = \frac{q}{C} F(t) , \quad (28.13)$$

where  $q$  is the positive charge in the avalanche,  $C$  is the capacitance between the anode wire and the cathodes and  $F(t) = \ln(1 + t/t_0)/\ln(1 + t_{\text{max}}/t_0)$ . The constant  $t_0$  is of the order of one or few nanoseconds; the constant  $t_{\text{max}}$  (several microseconds) describes the time that it takes ions to reach the cathodes.

A sketch of the first multi-wire proportional chamber (MWPC) [71] is shown in Fig. 28.3. It consists of a plane of parallel sense wires with spacing  $s$  and length  $L$  inserted in a gap of thickness  $\Delta$ . The potential distributions and fields in a proportional or drift chamber can usually be calculated with good accuracy from the exact formula for the potential around an array of parallel line charges  $q$  (coul/m) along  $z$  and located at  $y = 0, x = 0, \pm s, \pm 2s, \dots$ ,

$$V(x, y) = -\frac{q}{4\pi\epsilon_0} \ln \left\{ 4 \left[ \sin^2 \left( \frac{\pi x}{s} \right) + \sinh^2 \left( \frac{\pi y}{s} \right) \right] \right\} . \quad (28.14)$$

With digital readout, the resolution in the direction perpendicular to the wire is  $s/\sqrt{12}$ , where  $s$  is typically 1–2 mm. Similar resolution can be achieved with a smaller channel density by measuring the difference in time between the arrival of electrons at the wire and the traversal of the particle, albeit with a longer response time. In the case of drift chambers, the spatial resolution is limited by the diffusion of ionization electrons during the drift and by the fluctuations of the ionization process. Depending on the gas mixture, the width of the diffusing cloud after 1 cm of drift is typically between 50 and 300  $\mu\text{m}$ ; small diffusion implies low drift velocity. With drift lengths up to 5 cm (1  $\mu\text{s}$ ), resolutions in the range 100–200  $\mu\text{m}$  have been achieved in chambers with surface areas of several square meters [73]. The central detectors in many collider experiments are drift chambers with the wires parallel to the beam direction. Small volume chambers (0.1  $\text{m}^3$ )



**Figure 28.3:** Electric field lines in a (MWPC) with an anode pitch of 2 mm as calculated with GARFIELD program [72].

have been used for vertex measurement achieving resolutions of  $50 \mu\text{m}$  using high pressure (2–4 bar) and low diffusion gas mixtures [74]. Large volume chambers ( $5\text{--}40 \text{ m}^3$ ) with several thousand wires of length of 1–2 meters are operated with resolution between 100 and  $200 \mu\text{m}$  [75].

The spatial resolution cannot be improved by arbitrarily reducing the spacing of the wires. In addition to the practical difficulties of precisely stringing wires at a pitch below 1 mm, there is a fundamental limitation: the electrostatic force between the wires is balanced by the mechanical tension, which cannot exceed a critical value. This gives the following approximate stability condition:

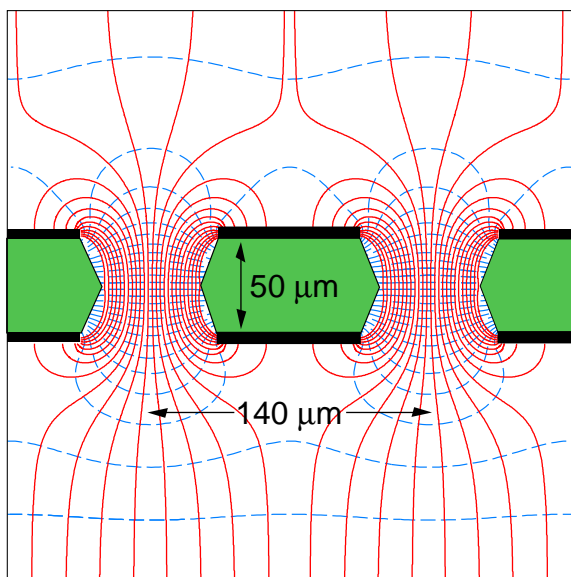
$$\frac{s}{L} \geq 1.5 \times 10^{-3} V(\text{kV}) \sqrt{\frac{20 \text{ g}}{T}}, \quad (28.15)$$

where  $V$  is the voltage of the sense wire and  $T$  is the tension of the wire in grams-weight equivalent.

A review of the principle of particle detection with drift chambers can be found in [76]. A compilation of the mobilities, diffusion coefficients and drift deflection angles as a function of  $\mathbf{E}$  and  $\mathbf{B}$  for several gas mixtures used in proportional chambers can be found in [77]. A review of micro-strip gas chambers (MSGC) can be found in [78].

**28.7.2. Micro-pattern Gas Detectors :** Written October 2007 by M. Titov (CEA Saclay, DAPNIA)

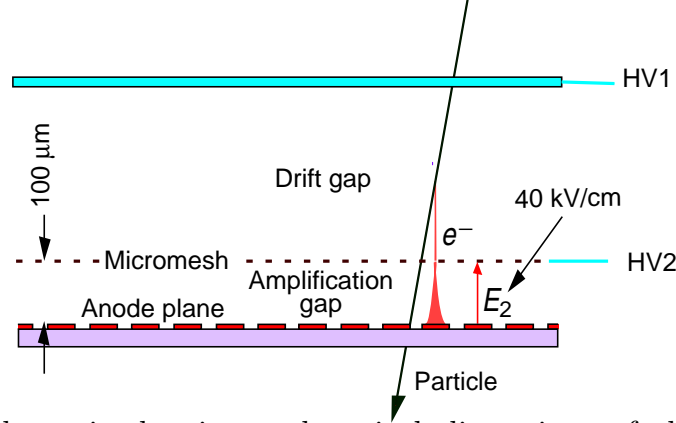
Modern photolithographic technology has enabled a series of inventions of novel Micro-Pattern Gas Detector (MPGD) concepts: Micro-Strip Gas Chamber (MSGC) [79], GEM [80], Micromegas [81] and many others [82], revolutionizing cell size limits for many gas detector applications. The MSGC, a concept invented in 1988 by A. Oed, was the first of the micro-structure gas detectors. Consisting of a set of tiny metal strips laid on a thin insulating substrate, and alternatively connected as anodes and cathodes, the MSGC turned out to be easily damaged by discharges induced by heavily ionizing particles and destroying the fragile electrode structure [83]. The more powerful GEM and Micromegas concepts fulfill the needs of high-luminosity colliders with increased reliability in harsh radiation environments. By using fine pitch size compared to classical wire chambers, these detectors offer intrinsic high rate capability (fast signals with risetimes of a few ns and full widths of 20–100 ns), excellent spatial resolution ( $\sim 30 \mu\text{m}$ ), double track resolution ( $\sim 500 \mu\text{m}$ ), and single photo-electron time resolution in the ns range.



**Figure 28.4:** Schematic view and typical dimensions of the hole structure in the GEM amplification cell. Electric field lines (solid) and equipotentials (dashed) are shown. On application of a potential difference between the two metal layers electrons released by ionization in the gas volume above the GEM are guided into the holes, where charge multiplication occurs in the high field.

The GEM detector was introduced by Fabio Sauli. It consists of a thin-foil copper-Kapton-copper sandwich chemically perforated to obtain a high density of holes. The hole diameter is typically between  $25 \mu\text{m}$  and  $150 \mu\text{m}$ , while the pitch varies between  $50 \mu\text{m}$  and  $200 \mu\text{m}$ . Application of a potential difference between the two sides of the GEM generates the electric fields indicated in Fig. 28.4. Each hole acts as an independent

proportional counter; electrons released by the ionization in the gas drift into the hole and multiply in the high electric field (50–70 kV/cm). Most of avalanche electrons are transferred into the gap below the GEM. Distributing the avalanche multiplication among several cascading electrodes allows the multi-GEM detectors to operate at overall gas gain above  $10^4$  in the presence of highly ionizing particles, while eliminating the risk of discharges ( $< 10^{-12}$  per hadron). This is the major advantage of the GEM technology [84]. A unique property of the GEM detector is the complete decoupling of the amplification stage (GEM) and the readout electrode (PCB), which operates at unity gain and serves only as a charge collector.



**Figure 28.5:** Schematic drawing and typical dimensions of the Micromegas detector. Charges produced in the drift gap are drifting to the small amplification region, limited by the mesh and the anode, where they are amplified.

Ioannis Giomataris introduced the micro-mesh gaseous structure (Micromegas), which is a parallel-plate avalanche counter (Fig. 28.5). It consists of a few mm drift region (electric field  $\sim 1$  kV/cm) and a narrow multiplication gap (25–150  $\mu\text{m}$ , 50–70 kV/cm), located between a thin metal grid (micromesh) and the readout electrode (strips/pads of conductor printed on an insulator board). The electric field is homogeneous both in the drift and amplification gaps. Due to the narrow multiplication region in Micromegas, locally small variations of the amplification gap are compensated by an inverse variation of the amplification coefficient and therefore do not induce gain fluctuations. The small amplification gap is a key element in Micromegas operation, giving rise to its excellent spatial resolution: 12  $\mu\text{m}$  accuracy (limited by the micromesh pitch) for MIPs [85], and very good energy resolution ( $\sim 12\%$  FWHM with 6 keV x rays).

Over the past decade GEM and Micromegas detectors have become increasingly important. COMPASS is a first high-luminosity experiment at CERN which pioneered the use of large-area ( $\sim 40 \times 40 \text{ cm}^2$ ) GEM and Micromegas detectors for high-rate particle tracking, reaching 25 kHz/mm<sup>2</sup> in the near-beam area. Both technologies have achieved a tracking efficiency of close to 100% at gas gains of about  $10^4$ , a spatial resolution of 70–100  $\mu\text{m}$  and a time resolution of  $\sim 10$  ns. GEMs have entered the LHC program; they will be used for triggering in the LHCb Muon System and in the TOTEM Telescopes. A time projection chamber (TPC) using GEM or Micromegas as a

gas amplification device is also one of the main options for a high-precision tracking at the International Linear Collider (ILC).

The performance and robustness of MPGDs have encouraged their applications in high-energy and neutrino physics, astrophysics, UV and visible photon detection, nuclear physics and neutron detection domain, radiation therapy and electronic portal imaging devices. A big step in the direction of the industrial manufacturing of large-size MPGDs is the development of the “bulk” Micromegas technology [86]. The basic idea is to build the whole detector in a single process: the anode plane with copper strips, a photo-imageable polyimide film and the woven mesh are laminated together at a high temperature forming a single object. Employing the “bulk” technology, 72 large Micromegas ( $34 \times 36 \text{ cm}^2$ ) planes with an active area of  $9 \text{ m}^2$  are being built for the T2K TPC detector.

Sensitive and low-noise electronics will enlarge the range of the MPGD applications. Recently, GEM and Micromegas were read out by high-granularity ( $50 \mu\text{m}$  pitch) CMOS pixel chips assembled directly below the GEM or Micromegas amplification structure and serving as an integrated charge collecting anode [87,88,89]. With this arrangement avalanche electrons are collected on the top metal layer of the CMOS ASIC; every input pixel is then directly connected to the amplification, digitization and sparsification circuits integrated in the underlying active layers of the CMOS technology. GEM coupled to a VLSI pixel array could serve as a highly efficient x-ray polarimeter, which is able to reconstruct simultaneously initial direction and dynamics of photoelectron energy loss for the low energy ( $<10 \text{ keV}$ ) x rays. A fine-pitch GEM matching the pitch of pixel ASIC ( $50 \mu\text{m}$ ) allows to achieve a superior single-electron avalanche reconstruction accuracy of  $4 \mu\text{m}$ , which makes it suitable candidate for fast gas photo-multipliers. For minimum ionizing particle tracks a spatial resolution down to  $20 \mu\text{m}$  was achieved with Medipix2 and Timepix CMOS chips coupled to Micromegas and GEM devices. An attractive solution for the construction of MPGDs with pixel anode readout is the integration of the Micromegas amplification and CMOS chip by means of the “wafer post-processing” technology. The sub- $\mu\text{m}$  precision of the grid dimensions and avalanche gap size results in a uniform gas gain; the grid hole size, pitch and pattern can be easily adapted to match the geometry of any pixel readout chip.

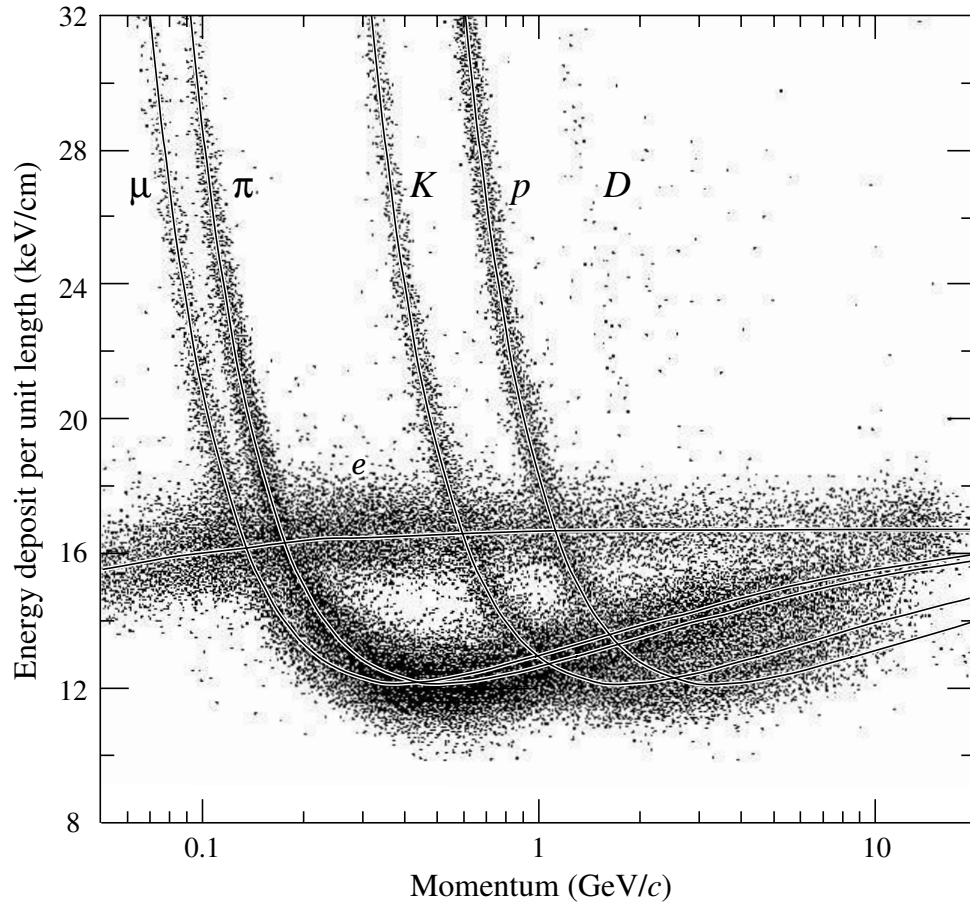
Recent developments in radiation hardness research with state-of-the-art MPGDs are reviewed in Ref. 90. Better properties of MPGDs, which are rather insensitive to aging compared to wire chambers, can be explained by the separation of multiplication (GEM or parallel plate Micromegas amplification) and anode readout structures, and the lower electric field strength ( $\sim 50 \text{ kV/cm}$ ) in the multiplication region, compared to the anode wire surface field ( $\sim 250 \text{ kV/cm}$ ).

**28.7.3. Time-projection chambers :** Revised August 2005 M.T Ronan (LBNL).

Detectors with long drift distances perpendicular to a readout pad plane provide three-dimensional information, with one being the time projection. A (typically strong) magnetic field parallel to the drift direction suppresses transverse diffusion ( $\sigma = \sqrt{2Dt}$ ) by a factor

$$D(B)/D(0) = \frac{1}{1 + \omega^2 \tau^2} , \quad (28.16)$$

where  $D$  is the diffusion coefficient,  $\omega = eB/mc$  is the cyclotron frequency, and  $\tau$  is the mean time between collisions. Multiple measurements of energy deposit along the particle trajectory combined with the measurement of momentum in the magnetic field allows excellent particle identification [91], as can be seen in Fig. 28.6.



**Figure 28.6:** PEP4/9-TPC energy-deposit measurements (185 samples @8.5 atm Ar-CH<sub>4</sub> 80–20%) in multihadron events. The electrons reach a Fermi plateau value of 1.4 times the most probably energy deposit at minimum ionization. Muons from pion decays are separated from pions at low momentum;  $\pi/K$  are separated over all momenta except in the cross-over region. (Low-momentum protons and deuterons originate from hadron-nucleus collisions in inner materials such as the beam pipe.)

A typical gas-filled TPC consists of a long uniform drift region (1–2 m) generated

by a central high-voltage membrane and precision concentric cylindrical field cages within a uniform, parallel magnetic field [76]. A multiwire proportional plane, Gas Electron Multiplier (GEM) [92] or Micromegas [93] micropattern device provides primary electron gas amplification. Details of construction and electron trajectories for a multiwire proportional anode plane are shown in Fig. 28.7. Signal shaping and processing using analog storage devices or FADC's allows excellent pattern recognition, track reconstruction, and particle identification within the same detector.

Typical values:

Gas: Ar + (10–20%) CH <sub>4</sub>	Pressure( $P$ ) = 1–8.5 atm.
$E/P = 100\text{--}200$ V/cm/atm	$B = 1\text{--}2$ Tesla
$v_{\text{drift}} = 5\text{--}7$ cm/ $\mu$ s	$\omega\tau = 1\text{--}8$
$\sigma_x$ or $y = 100\text{--}200$ $\mu$ m	$\sigma_z = 0.2\text{--}1$ mm
$\sigma_{E\text{ dep}} = 2.5\text{--}5.5$ %	

Truncated mean energy-deposit resolution depends on the number and size of samples, and gas pressure:

$$\sigma_{E\text{ dep}} \propto N^{-0.43} \times (P\ell)^{-0.32} . \quad (28.17)$$

Here  $N$  is the number of samples,  $\ell$  is the sample size, and  $P$  is the pressure. Typical energy-deposit distributions are shown in Fig. 28.6. Good three-dimensional two-track resolutions of about 1–1.5 cm are routinely achieved.

$E \times B$  distortions arise from nonparallel  $E$  and  $B$  fields (see Eq. (28.12)), and from the curved drift of electrons to the anode wires in the amplification region. Position measurement errors include contributions from the anode-cathode geometry, the track crossing angle ( $\alpha$ ),  $E \times B$  distortions, and from the drift diffusion of electrons

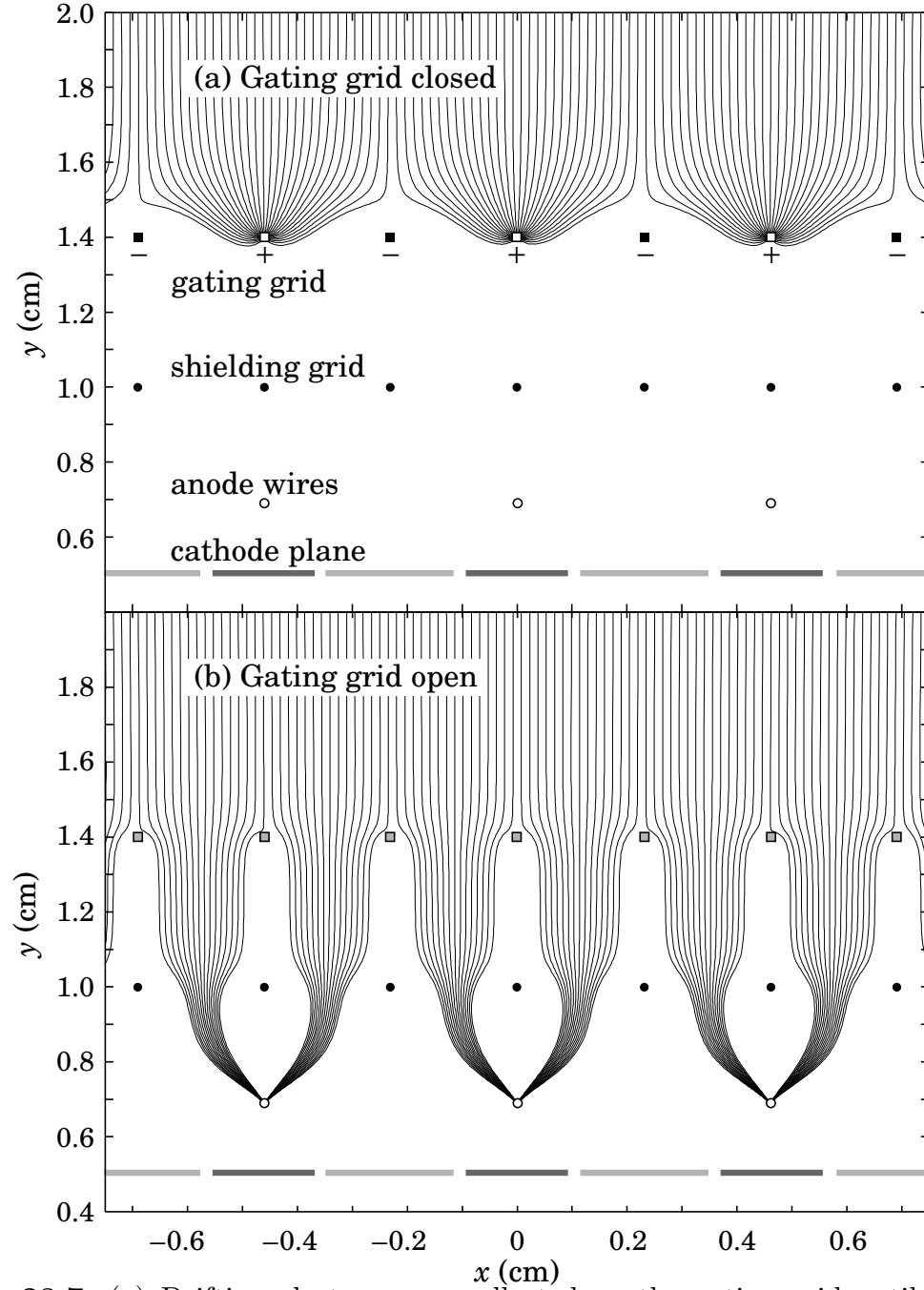
$$\sigma_{x\text{ or }y}^2 = \sigma_0^2 + \sigma_D^2(1 + \tan^2 \alpha)L/L_{\text{max}} + \sigma_\alpha^2(\tan \alpha - \tan \psi)^2 \quad (28.18)$$

where  $\sigma$  is the coordinate resolution,  $\sigma_0$  includes the anode-cathode geometry contribution,  $\psi$  is the Lorentz angle, and  $L$  is the drift distance.

Space-charge distortions arise in high-rate environments, especially for low values of  $\omega\tau$ . However, they are mitigated by an effective gating grid (Fig. 28.7). Field uniformities of

$$\int (E_\perp/E) dz \lesssim 0.5\text{--}1 \text{ mm} , \quad (28.19)$$

over  $10\text{--}40$  m<sup>3</sup> volumes have been obtained. Laser tracks and calibration events allow mapping of any remnant drift non-uniformities.



**Figure 28.7:** (a) Drifting electrons are collected on the gating grid until gated open by a triggering event. A shielding grid at ground potential is used to terminate the drift region. Electrons drifting through an open gating grid (b) pass through to the amplification region around the anode wires. Positive ions generated in the avalanche are detected on segmented cathode pads to provide precise measurements along the wire. The slow positive ions are blocked from entering the drift region by closing the gating grid after the electrons have drifted through.



**28.7.4. Transition radiation detectors (TRD's):** Written August 2007 by P. Nevski (BNL), A. Romaniouk (Moscow Eng. & Phys. Inst.)

Transition radiation (TR) x rays are produced when a highly relativistic particle ( $\gamma \gtrsim 10^3$ ) crosses a refractive index interface, as discussed in Sec. 27.7. The x rays, ranging from a few keV to a few dozen keV, are emitted at a characteristic angle  $1/\gamma$  from the particle trajectory. Since the TR yield is about 1% per boundary crossing, radiation from multiple surface crossings is used in practical detectors. In the simplest concept, a detector module might consist of low- $Z$  foils followed by a high- $Z$  active layer made of proportional counters filled with a Xe-rich gas mixture. The atomic number considerations follow from the dominant photoelectric absorption cross section per atom going roughly as  $Z^n/E_x^3$ , where  $n$  varies between 4 and 5 over the region of interest, and the x-ray energy is  $E_x$ .<sup>\*</sup> To minimize self-absorption, materials such as polypropylene, Mylar, carbon, and (rarely) lithium are used as radiators. The TR signal in the active regions is in most cases superimposed upon the particle's ionization losses. These drop a little faster than  $Z/A$  with increasing  $Z$ , providing another reason for active layers with high  $Z$ .

The TR intensity for a single boundary crossing always increases with  $\gamma$ , but for multiple boundary crossings interference leads to saturation near a Lorentz factor  $\gamma_{\text{sat}} = 0.6 \omega_1 \sqrt{\ell_1 \ell_2} / c$  [94,95], where  $\omega_1$  is the radiator plasma frequency,  $\ell_1$  is its thickness, and  $\ell_2$  the spacing. In most of the detectors used in particle physics the radiator parameters are chosen to provide  $\gamma_{\text{sat}} \approx 2000$ . Those detectors normally work as threshold devices, ensuring the best electron/pion separation in the momentum range  $1 \text{ GeV}/c \lesssim p \lesssim 150 \text{ GeV}/c$ .

One can distinguish two design concepts—"thick" and "thin" detectors:

1. The radiator, optimized for a minimum total radiation length at maximum TR yield and total TR absorption, consists of few hundred foils (for instance 300 20  $\mu\text{m}$  thick polypropylene foils). A dominant fraction of the soft TR photons is absorbed in the radiator itself. To increase the average TR photon energy further, part of the radiator far from the active layers is often made of thicker foils. The detector thickness, about 2 cm for Xe-filled gas chambers, is optimized to absorb the shaped x-ray spectrum. A classical detector is composed of several similar modules which respond nearly independently. Such detectors were used in the NA34 [96], NOMAD [97], and are being used in the ALICE [98] experiment.
2. In another TRD concept a fine granular radiator/detector structure exploits the soft part of the TR spectrum more efficiently. This can be achieved, for instance, by distributing small-diameter straw-tube detectors uniformly or in thin layers throughout the radiator material (foils or fibers). Even with a relatively thin radiator stack, radiation below 5 keV is mostly lost in the radiators themselves. However for photon energies above this value the absorption becomes smaller and the radiation can be registered by several consecutive detector layers, thus creating a strong TR build-up effect. Examples of the detectors using this approach can be found in both accelerator

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<sup>\*</sup> Photon absorption coefficients for the elements (via a NIST link), and  $dE/dx|_{\text{min}}$  and plasma energies for many materials are given in [pdg.lbl.gov/AtomicNuclearProperties](http://pdg.lbl.gov/AtomicNuclearProperties).

(ATLAS [99]) and space (PAMELA [100], AMS [101]) experiments. For example, in the ATLAS TR tracker charged particles cross about 35 effective straw tube layers embedded in the radiator material. The effective thickness of the Xe gas per straw is about 2.3 mm and the average number of foils per straw is about 40 with an effective foil thickness of about 20  $\mu\text{m}$ .

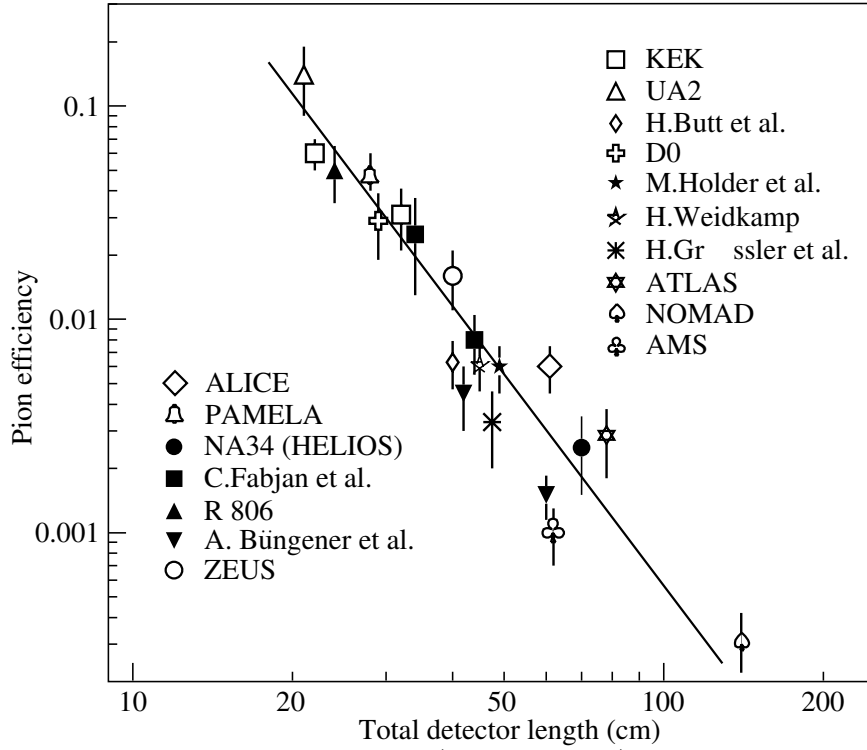
Both TR photon absorption and the TR build-up significantly affect the detector performance. Although the values mentioned above are typical for most of the plastic radiators used with Xe-based detectors, they vary significantly depending on detector parameters: radiator material, thickness and spacing, the construction of the sensitive chambers, their position, *etc.* Thus careful simulations are usually needed to build a detector optimized for a particular application.

The discrimination between electrons and pions can be based on the charge deposition measured in each detection module, on the number of clusters—energy depositions observed above an optimal threshold (usually in the 5 to 7 keV region), or on more sophisticated methods analyzing the pulse shape as a function of time. The total energy measurement technique is more suitable for thick gas volumes, which absorb most of the TR radiation and where the ionization loss fluctuations are small. The cluster-counting method works better for detectors with thin gas layers, where the fluctuations of the ionization losses are big. Cluster-counting replaces the Landau-Vavilov distribution of background ionization energy losses with the Poisson statistics of  $\delta$ -electrons, responsible for the distribution tails. The latter distribution is narrower than the Landau-Vavilov distribution.

The major factor in the performance of any TRD is its overall length. This is illustrated in Fig. 28.8, which shows, for a variety of detectors, the pion efficiency at a fixed electron efficiency of 90% as a function of the overall detector length. The experimental data, covering a range of particle energies from a few GeV to 40 GeV, are rescaled to an energy of 10 GeV when possible. Phenomenologically, the rejection power against pions increases as  $5 \cdot 10^{L/38}$ , where the range of validity is  $L \approx 20\text{--}100$  cm.

Many recent TRDs combine particle identification with charged-track measurement in the same detector [98,99]. This provides a powerful tool for electron identification even at very high particle densities. Another example of this combination is described in Ref. 102. In this work Si-microstrip detectors operating in a magnetic field are used both for particle and TR detection. The excellent coordinate resolution of the Si detectors allows spatial separation of the TR photons from particle ionization tracks with relatively modest distances between radiator and detector.

Recent TRDs for particle astrophysics are designed to directly measure the Lorentz factor of high-energy nuclei by using the quadratic dependence of the TR yield on nuclear charge [103,104]. The radiator configuration  $(\ell_1, \ell_2)$  is tuned to extend the TR yield rise up to  $\gamma \lesssim 10^5$  using more energetic part of the TR spectrum (up to 100 keV). Exotic radiator materials such as aluminum and unusual TR detection methods (Compton scattering) are used such cases [103].



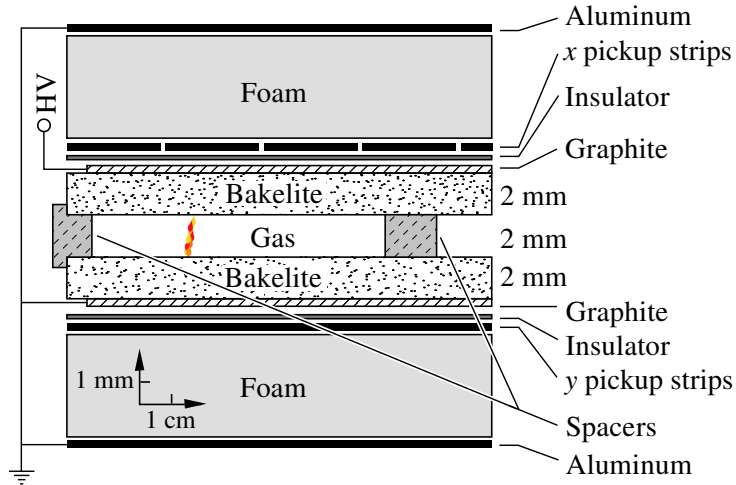
**Figure 28.8:** Pion efficiency measured (or predicted) for different TRDs as a function of the detector length for a fixed electron efficiency of 90%. The plot is taken from [96] with efficiencies of more recent detectors [99–100] added (ATLAS to PAMELA).

**28.7.5. Resistive-plate chambers :** Revised September 2007 by H.R. Band (U. Wisconsin).

The resistive-plate chamber (RPC) was developed by Santonico and Cardarelli in the early 1980's [105] as a low-cost alternative to large scintillator planes.\* Most commonly, an RPC is constructed from two parallel high-resistivity ( $10^9$ – $10^{13}$   $\Omega$ -cm) glass or phenolic (Bakelite)/melamine laminate plates with a few-mm gap between them which is filled with atmospheric-pressure gas. The gas is chosen to absorb UV photons in order to limit transverse growth of discharges. The backs of the plates are coated with a lower-resistivity paint or ink ( $\sim 10^5$   $\Omega/\square$ ), and a high potential (7–12 kV) is maintained between them. The passage of a charged particle initiates an electric discharge, whose size and duration are limited since the current reduces the local potential to below that needed to maintain the discharge. The sensitivity of the detector outside of this region is unaffected. The signal readout is via capacitive coupling to metallic strips on both sides of the detector which are separated from the high voltage coatings by thin insulating sheets. The  $x$  and  $y$  position of the discharge can be measured if the strips on opposite sides of the gap are orthogonal. When operated in streamer mode, the induced signals on the strips can be quite large ( $\sim 300$  mV), making sensitive electronics unnecessary. An example of an RPC

\* It was based on earlier work on a spark counter with one high-resistivity plate [106].

structure is shown in Fig. 28.9.



**Figure 28.9:** Schematic cross section of a typical RPC, in this case the single-gap streamer-mode BaBar RPC.

RPC's have inherent rate limitations since the time needed to re-establish the field after a discharge is proportional to the chamber capacitance and plate resistance. The average charge per streamer is 100–1000 pC. Typically, the efficiency of streamer-mode glass RPC's begins to fall above  $\sim 0.4 \text{ Hz/cm}^2$ . Because of Bakelite's lower bulk resistivity, Bakelite RPC's can be efficient at 10–100  $\text{Hz/cm}^2$ . The need for higher rate capability led to the development of avalanche-mode RPC's, in which the gas and high voltage have been tuned to limit the growth of the electric discharge, preventing streamer formation. Typical avalanche-mode RPC's have a signal charge of about 10 pC and can be efficient at 1  $\text{kHz/cm}^2$ . The avalanche discharge produces a much smaller induced signal on the pickup strips ( $\sim 1 \text{ mV}$ ) than streamers, and thus requires a more sophisticated and careful electronic design.

Many variations of the initial RPC design have been built for operation in either mode. Efficiencies of  $\gtrsim 92\%$  for single gaps can be improved by the use of two or more gas gaps with shared pickup strips. Non-flammable and more environmentally friendly gas mixtures have been developed. In streamer mode, various mixtures of argon with isobutane and tetrafluoroethane have been used. For avalanche mode operation, a gas mixture of tetrafluoroethane ( $\text{C}_2\text{H}_2\text{F}_4$ ) with 2–5% isobutane and 0.4–10% sulfur hexafluoride ( $\text{SF}_6$ ) is typical. An example of large-scale RPC use is provided by the muon system being built for the ATLAS detector, where three layers of pairs of RPC's are used to trigger the drift tube arrays between the pairs. The total area is about  $10,000 \text{ m}^2$ . These RPC's provide a spatial resolution of 1 cm and a time resolution of 1 ns at an efficiency  $\geq 99\%$ .

Developments of multiple-gap RPC's [107] lead to RPC designs with much better timing resolution ( $\sim 50 \text{ ps}$ ) for use in time-of-flight particle identification systems. A pioneering design used by the HARP experiment [108] has two sets of 2 thin gas gaps (0.3 mm) separated by thin (0.7 mm) glass plates. The outer plates are connected to high

voltage and ground while the inner plate is electrically isolated and floats to a stable equilibrium potential. The observed RPC intrinsic time resolution of 127 ps may have been limited by amplifier noise. Fonte provides useful review [109] of other RPC designs.

Operational experience with RPC's has been mixed. Several experiments (*e.g.*, L3 and HARP) have reported reliable performance. However, the severe problems experienced with the BaBar RPC's have raised concerns about the long-term reliability of Bakelite RPC's.

Glass RPC's have had fewer problems, as seen by the history of the BELLE chambers. A rapid growth in the noise rate and leakage current in some of the BELLE glass RPC's was observed during commissioning. It was found that water vapor in the input gas was reacting with fluorine (produced by the disassociation of the tetrafluoroethane in the streamers) to produce hydrofluoric acid. The acid etched the glass surfaces, leading to increased noise rates and lower efficiencies. The use of copper gas piping to insure the dryness of the input gas stopped the problem. The BELLE RPC's have now operated reliably for more than 5 years.

Several different failure modes diagnosed in the first-generation BaBar Bakelite RPC's caused the average efficiency of the barrel RPC's to fall from  $\gtrsim 90\%$  to 35% in five years. The linseed oil which is used in Bakelite RPC's to coat the inner surface [110] had not been completely cured. Under warm conditions (32° C) and high voltage, oil collected on the spacers between the gaps or formed oil-drop bridges between the gaps. This led to large leakage currents (50–100  $\mu\text{A}$  in some chambers) which persisted even when the temperature was regulated at 20° C. In addition, the graphite layer used to distribute the high voltage over the Bakelite became highly resistive ( $100 \text{ k}\Omega/\square \rightarrow 10 \text{ M}\Omega/\square$ ), resulting in lowered efficiency in some regions and the complete death of whole chambers.

The BaBar problems and the proposed use of Bakelite RPC's in the LHC detectors prompted detailed studies of RPC aging and have led to improved construction techniques and a better understanding of RPC operational limits. The graphite layer has been improved and should be stable with integrated currents of  $\lesssim 600 \text{ mC/cm}^2$ . Molded gas inlets and improved cleanliness during construction have reduced the noise rate of new chambers. Unlike glass RPC's, Bakelite RPC's have been found to require humid input gases to prevent drying of the Bakelite (increasing the bulk resistivity) which would decrease the rate capability. Second-generation BaBar RPC's incorporating many of the above improvements have performed reliably for over two years [111].

With many of these problems solved, new-generation RPC's are now being or soon will be used in about a dozen cosmic-ray and HEP detectors. Their comparatively low cost, ease of construction, good time resolution, high efficiency, and moderate spatial resolution make them attractive in many situations, particularly those requiring fast timing and/or large-area coverage.

## 28.8. Silicon semiconductor detectors

Updated September 2007 by H. Spieler (LBNL).

Semiconductor detectors are widely used in modern high-energy physics experiments. They are the key ingredient of high-resolution vertex and tracking detectors and are also used as photodetectors in scintillation calorimeters. The most commonly used material is silicon, but germanium, gallium-arsenide, CdTe, CdZnTe, and diamond are also useful in some applications. Integrated circuit technology allows the formation of high-density micron-scale electrodes on large (10–15 cm diameter) wafers, providing excellent position resolution. Furthermore, the density of silicon and its small ionization energy result in adequate signals with active layers only 100–300  $\mu\text{m}$  thick, so the signals are also fast (typically tens of ns). Semiconductor detectors depend crucially on low-noise electronics (see Sec. 28.9), so the detection sensitivity is determined by signal charge and capacitance. For a comprehensive discussion of semiconductor detectors and electronics see Ref. 112.

Silicon detectors are  $p$ - $n$  junction diodes operated at reverse bias. This forms a sensitive region depleted of mobile charge and sets up an electric field that sweeps charge liberated by radiation to the electrodes. Detectors typically use an asymmetric structure, e.g. a highly doped  $p$  electrode and a lightly doped  $n$  region, so that the depletion region extends predominantly into the lightly doped volume.

The thickness of the depleted region is

$$W = \sqrt{2\epsilon(V + V_{bi})/Ne} = \sqrt{2\rho\mu\epsilon(V + V_{bi})} , \quad (28.20)$$

where  $V$  = external bias voltage

$V_{bi}$  = “built-in” voltage ( $\approx 0.5$  V for resistivities typically used in detectors)

$N$  = doping concentration

$e$  = electronic charge

$\epsilon$  = dielectric constant =  $11.9 \epsilon_0 \approx 1 \text{ pF/cm}$

$\rho$  = resistivity (typically 1–10 k $\Omega$  cm)

$\mu$  = charge carrier mobility

= 1350 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> for electrons

= 450 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> for holes

or

$W = 0.5 [\mu\text{m}/\sqrt{\Omega\text{-cm} \cdot \text{V}}] \times \sqrt{\rho(V + V_{bi})}$  for  $n$ -type material, and

$W = 0.3 [\mu\text{m}/\sqrt{\Omega\text{-cm} \cdot \text{V}}] \times \sqrt{\rho(V + V_{bi})}$  for  $p$ -type material.

The conductive  $p$  and  $n$  regions together with the depleted volume form a capacitor with the capacitance per unit area

$$C = \epsilon/W \approx 1 [\text{pF/cm}] / W . \quad (28.21)$$

In strip and pixel detectors the capacitance is dominated by the fringing capacitance. For example, the strip-to-strip fringing capacitance is  $\sim 1$ – $1.5 \text{ pF cm}^{-1}$  of strip length at a strip pitch of 25–50  $\mu\text{m}$ .

Measurements on silicon photodiodes [113] show that for photon energies below 4 eV one electron-hole ( $e$ - $h$ ) pair is formed per incident photon. The mean energy  $E_i$  required to produce an  $e$ - $h$  pair peaks at 4.4 eV for a photon energy around 6 eV. It assumes a constant value, 3.67 eV at room temperature, above  $\sim 1.5$  keV. It is larger than the bandgap energy because phonon excitation is required for momentum conservation. For minimum-ionizing particles, the most probable charge deposition in a 300  $\mu\text{m}$  thick silicon detector is about 3.5 fC (22000 electrons). Since both electronic and lattice excitations are involved, the variance in the number of charge carriers  $N = E/E_i$  produced by an absorbed energy  $E$  is reduced by the Fano factor  $F$  (about 0.1 in Si). Thus,  $\sigma_N = \sqrt{FN}$  and the energy resolution  $\sigma_E/E = \sqrt{FE_i/E}$ . However, the measured signal fluctuations are usually dominated by electronic noise or energy loss fluctuations in the detector.

Charge collection time decreases with increasing bias voltage, and can be reduced further by operating the detector with “overbias,” *i.e.* a bias voltage exceeding the value required to fully deplete the device. The collection time is limited by velocity saturation at high fields (approaching  $10^7$  cm/s at  $E > 10^4$  V/cm); at an average field of  $10^4$  V/cm the collection time is about 15 ps/ $\mu\text{m}$  for electrons and 30 ps/ $\mu\text{m}$  for holes. In typical fully-depleted detectors 300  $\mu\text{m}$  thick, electrons are collected within about 10 ns, and holes within about 25 ns.

Position resolution is limited by transverse diffusion during charge collection (typically 5  $\mu\text{m}$  for 300  $\mu\text{m}$  thickness) and by knock-on electrons. Resolutions of 2–4  $\mu\text{m}$  (rms) have been obtained in beam tests. In magnetic fields, the Lorentz drift deflects the electron and hole trajectories and the detector must be tilted to reduce spatial spreading (see “Hall effect” in semiconductor textbooks).

Electrodes can be in the form of cm-scale pads, strips, or  $\mu\text{m}$ -scale pixels. Various readout structures have been developed for pixels, *e.g.* CCDs, DEPFETs, monolithic pixel devices that integrate sensor and electronics (MAPS), and hybrid pixel devices that utilize separate sensors and readout ICs connected by two-dimensional arrays of solder bumps. For an overview and further discussion see Ref. 112.

Radiation damage occurs through two basic mechanisms:

1. Bulk damage due to displacement of atoms from their lattice sites. This leads to increased leakage current, carrier trapping, and build-up of space charge that changes the required operating voltage. Displacement damage depends on the nonionizing energy loss and the energy imparted to the recoil atoms, which can initiate a chain of subsequent displacements, *i.e.*, damage clusters. Hence, it is critical to consider both particle type and energy.
2. Surface damage due to charge build-up in surface layers, which leads to increased surface leakage currents. In strip detectors the inter-strip isolation is affected. The effects of charge build-up are strongly dependent on the device structure and on fabrication details. Since the damage is proportional to the absorbed energy (when ionization dominates), the dose can be specified in rad (or Gray) independent of particle type.

The increase in reverse bias current due to bulk damage is  $\Delta I_r = \alpha\Phi$  per unit volume, where  $\Phi$  is the particle fluence and  $\alpha$  the damage coefficient ( $\alpha \approx 3 \times 10^{-17}$  A/cm for

minimum ionizing protons and pions after long-term annealing;  $\alpha \approx 2 \times 10^{-17}$  A/cm for 1 MeV neutrons). The reverse bias current depends strongly on temperature

$$\frac{I_R(T_2)}{I_R(T_1)} = \left(\frac{T_2}{T_1}\right)^2 \exp \left[ -\frac{E}{2k} \left( \frac{T_1 - T_2}{T_1 T_2} \right) \right] \quad (28.22)$$

where  $E = 1.2$  eV, so rather modest cooling can reduce the current substantially ( $\sim 6$ -fold current reduction in cooling from room temperature to  $0^\circ\text{C}$ ).

Displacement damage forms acceptor-like states. These trap electrons, building up a negative space charge, which in turn requires an increase in the applied voltage to sweep signal charge through the detector thickness. This has the same effect as a change in resistivity, *i.e.*, the required voltage drops initially with fluence, until the positive and negative space charge balance and very little voltage is required to collect all signal charge. At larger fluences the negative space charge dominates, and the required operating voltage increases ( $V \propto N$ ). The safe limit on operating voltage ultimately limits the detector lifetime. Strip detectors specifically designed for high voltages have been extensively operated at bias voltages  $>500\text{V}$ . Since the effect of radiation damage depends on the electronic activity of defects, various techniques have been applied to neutralize the damage sites. For example, additional doping with oxygen increases the allowable charged hadron fluence roughly three-fold [114]. Detectors with columnar electrodes normal to the surface can also extend operational lifetime Ref. 115. The increase in leakage current with fluence, on the other hand, appears to be unaffected by resistivity and whether the material is *n* or *p*-type. At fluences beyond  $10^{15} \text{ cm}^{-2}$  decreased carrier lifetime becomes critical, Ref. 116 Ref. 117.

Strip and pixel detectors have remained functional at fluences beyond  $10^{15} \text{ cm}^{-2}$  for minimum ionizing protons. At this damage level, charge loss due to recombination and trapping also becomes significant and the high signal-to-noise ratio obtainable with low-capacitance pixel structures extends detector lifetime. The occupancy of the defect charge states is strongly temperature dependent; competing processes can increase or decrease the required operating voltage. It is critical to choose the operating temperature judiciously ( $-10$  to  $0^\circ\text{C}$  in typical collider detectors) and limit warm-up periods during maintenance. For a more detailed summary see Ref. 118 and the web-sites of the ROSE and RD50 collaborations at <http://RD48.web.cern.ch/rd48> and <http://RD50.web.cern.ch/rd50>.

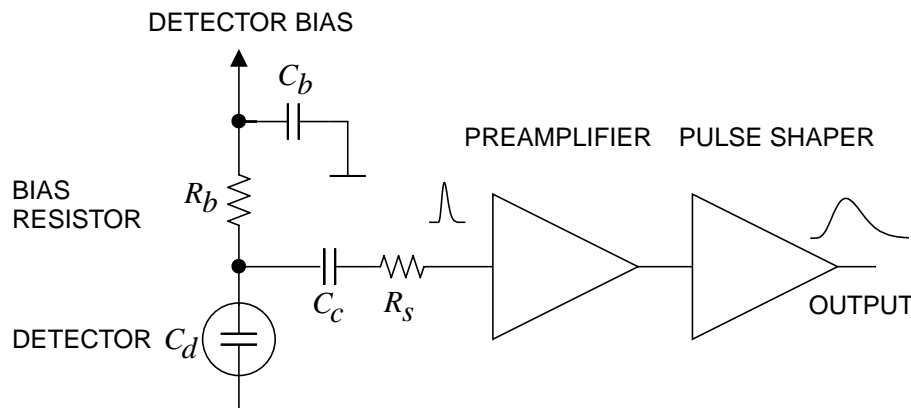
Currently, the lifetime of detector systems is still limited by the detectors; in the electronics use of standard “deep submicron” CMOS fabrication processes with appropriately designed circuitry has increased the radiation resistance to fluences  $> 10^{15} \text{ cm}^{-2}$  of minimum ionizing protons or pions. For a comprehensive discussion of radiation effects see Ref. 119.



## 28.9. Low-noise electronics

Revised August 2003 by H. Spieler (LBNL).

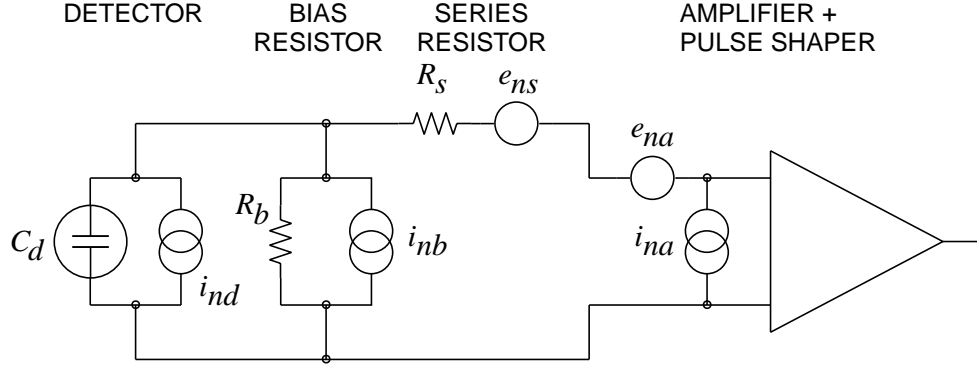
Many detectors rely critically on low-noise electronics, either to improve energy resolution or to allow a low detection threshold. A typical detector front-end is shown in Fig. 28.10.



**Figure 28.10:** Typical detector front-end circuit.

The detector is represented by a capacitance  $C_d$ , a relevant model for most detectors. Bias voltage is applied through resistor  $R_b$  and the signal is coupled to the preamplifier through a blocking capacitor  $C_c$ . The series resistance  $R_s$  represents the sum of all resistances present in the input signal path, *e.g.* the electrode resistance, any input protection networks, and parasitic resistances in the input transistor. The preamplifier provides gain and feeds a pulse shaper, which tailors the overall frequency response to optimize signal-to-noise ratio while limiting the duration of the signal pulse to accommodate the signal pulse rate. Even if not explicitly stated, all amplifiers provide some form of pulse shaping due to their limited frequency response.

The equivalent circuit for the noise analysis (Fig. 28.11) includes both current and voltage noise sources. The leakage current of a semiconductor detector, for example, fluctuates due to electron emission statistics. This “shot noise”  $i_{nd}$  is represented by a current noise generator in parallel with the detector. Resistors exhibit noise due to thermal velocity fluctuations of the charge carriers. This noise source can be modeled either as a voltage or current generator. Generally, resistors shunting the input act as noise current sources and resistors in series with the input act as noise voltage sources (which is why some in the detector community refer to current and voltage noise as “parallel” and “series” noise). Since the bias resistor effectively shunts the input, as the capacitor  $C_b$  passes current fluctuations to ground, it acts as a current generator  $i_{nb}$  and its noise current has the same effect as the shot noise current from the detector. Any other shunt resistances can be incorporated in the same way. Conversely, the series resistor  $R_s$  acts as a voltage generator. The electronic noise of the amplifier is described fully by a combination of voltage and current sources at its input, shown as  $e_{na}$  and  $i_{na}$ .



**Figure 28.11:** Equivalent circuit for noise analysis.

Shot noise and thermal noise have a “white” frequency distribution, *i.e.* the spectral power densities  $dP_n/df \propto di_n^2/df \propto de_n^2/df$  are constant with the magnitudes

$$\begin{aligned} i_{nd}^2 &= 2eI_d , \\ i_{nb}^2 &= \frac{4kT}{R_b} , \\ e_{ns}^2 &= 4kTR_s , \end{aligned} \quad (28.23)$$

where  $e$  is the electronic charge,  $I_d$  the detector bias current,  $k$  the Boltzmann constant and  $T$  the temperature. Typical amplifier noise parameters  $e_{na}$  and  $i_{na}$  are of order  $\text{nV}/\sqrt{\text{Hz}}$  and  $\text{pA}/\sqrt{\text{Hz}}$ . Trapping and detrapping processes in resistors, dielectrics and semiconductors can introduce additional fluctuations whose noise power frequently exhibits a  $1/f$  spectrum. The spectral density of the  $1/f$  noise voltage is

$$e_{nf}^2 = \frac{A_f}{f} , \quad (28.24)$$

where the noise coefficient  $A_f$  is device specific and of order  $10^{-10}$ – $10^{-12} \text{ V}^2$ .

A fraction of the noise current flows through the detector capacitance, resulting in a frequency-dependent noise voltage  $i_n/(\omega C_d)$ , which is added to the noise voltage in the input circuit. Since the individual noise contributions are random and uncorrelated, they add in quadrature. The total noise at the output of the pulse shaper is obtained by integrating over the full bandwidth of the system. Superimposed on repetitive detector signal pulses of constant magnitude, purely random noise produces a Gaussian signal distribution.

Since radiation detectors typically convert the deposited energy into charge, the system’s noise level is conveniently expressed as an equivalent noise charge  $Q_n$ , which is equal to the detector signal that yields a signal-to-noise ratio of one. The equivalent noise charge is commonly expressed in Coulombs, the corresponding number of electrons, or the equivalent deposited energy (eV). For a capacitive sensor

$$Q_n^2 = i_n^2 F_i T_S + e_n^2 F_v \frac{C^2}{T_S} + F_v f A_f C^2 , \quad (28.25)$$

where  $C$  is the sum of all capacitances shunting the input,  $F_i$ ,  $F_v$ , and  $F_{vf}$  depend on the shape of the pulse determined by the shaper and  $T_s$  is a characteristic time, for example, the peaking time of a semi-gaussian pulse or the sampling interval in a correlated double sampler. The form factors  $F_i$ ,  $F_v$  are easily calculated

$$F_i = \frac{1}{2T_s} \int_{-\infty}^{\infty} [W(t)]^2 dt, \quad F_v = \frac{T_s}{2} \int_{-\infty}^{\infty} \left[ \frac{dW(t)}{dt} \right]^2 dt, \quad (28.26)$$

where for time-invariant pulse-shaping  $W(t)$  is simply the system's impulse response (the output signal seen on an oscilloscope) with the peak output signal normalized to unity. For more details see Refs. [120–121].

A pulse shaper formed by a single differentiator and integrator with equal time constants has  $F_i = F_v = 0.9$  and  $F_{vf} = 4$ , independent of the shaping time constant. The overall noise bandwidth, however, depends on the time constant, *i.e.* the characteristic time  $T_s$ . The contribution from noise currents increases with shaping time, *i.e.*, pulse duration, whereas the voltage noise decreases with increasing shaping time. Noise with a  $1/f$  spectrum depends only on the ratio of upper to lower cutoff frequencies (integrator to differentiator time constants), so for a given shaper topology the  $1/f$  contribution to  $Q_n$  is independent of  $T_s$ . Furthermore, the contribution of noise voltage sources to  $Q_n$  increases with detector capacitance. Pulse shapers can be designed to reduce the effect of current noise, *e.g.*, mitigate radiation damage. Increasing pulse symmetry tends to decrease  $F_i$  and increase  $F_v$  (*e.g.*, to 0.45 and 1.0 for a shaper with one  $CR$  differentiator and four cascaded integrators). For the circuit shown in Fig. 28.11,

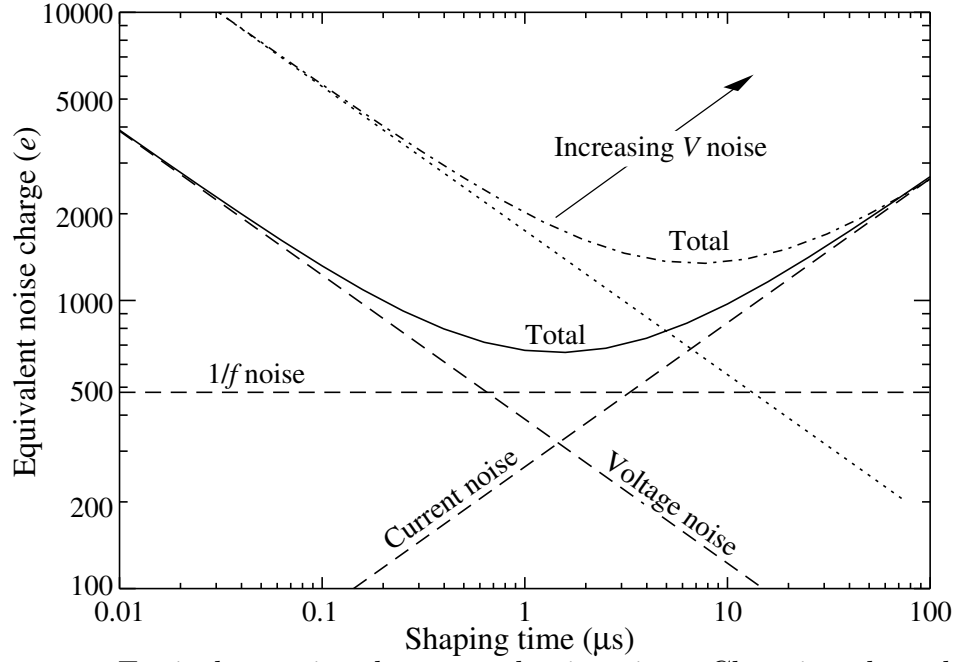
$$Q_n^2 = \left( 2eI_d + 4kT/R_b + i_{na}^2 \right) F_i T_s + (4kTR_s + e_{na}^2) F_v C_d^2 / T_s + F_{vf} A_f C_d^2. \quad (28.27)$$

As the characteristic time  $T_s$  is changed, the total noise goes through a minimum, where the current and voltage contributions are equal. Fig. 28.12 shows a typical example. At short shaping times the voltage noise dominates, whereas at long shaping times the current noise takes over. The noise minimum is flattened by the presence of  $1/f$  noise. Increasing the detector capacitance will increase the voltage noise and shift the noise minimum to longer shaping times.

For quick estimates, one can use the following equation, which assumes an FET amplifier (negligible  $i_{na}$ ) and a simple  $CR$ – $RC$  shaper with time constants  $\tau$  (equal to the peaking time):

$$(Q_n/e)^2 = 12 \left[ \frac{1}{\text{nA} \cdot \text{ns}} \right] I_d \tau + 6 \times 10^5 \left[ \frac{\text{k}\Omega}{\text{ns}} \right] \frac{\tau}{R_b} + 3.6 \times 10^4 \left[ \frac{\text{ns}}{(\text{pF})^2 (\text{nV})^2 / \text{Hz}} \right] e_n^2 \frac{C^2}{\tau}. \quad (28.28)$$

Noise is improved by reducing the detector capacitance and leakage current, judiciously selecting all resistances in the input circuit, and choosing the optimum shaping time constant.



**Figure 28.12:** Equivalent noise charge *vs* shaping time. Changing the voltage or current noise contribution shifts the noise minimum. Increased voltage noise is shown as an example.

The noise parameters of the amplifier depend primarily on the input device. In field effect transistors, the noise current contribution is very small, so reducing the detector leakage current and increasing the bias resistance will allow long shaping times with correspondingly lower noise. In bipolar transistors, the base current sets a lower bound on the noise current, so these devices are best at short shaping times. In special cases where the noise of a transistor scales with geometry, *i.e.*, decreasing noise voltage with increasing input capacitance, the lowest noise is obtained when the input capacitance of the transistor is equal to the detector capacitance, albeit at the expense of power dissipation. Capacitive matching is useful with field-effect transistors, but not bipolar transistors. In bipolar transistors, the minimum obtainable noise is independent of shaping time, but only at the optimum collector current  $I_C$ , which does depend on shaping time.

$$Q_{n,\min}^2 = 4kT \frac{C}{\sqrt{\beta_{DC}}} \sqrt{F_i F_v} \quad \text{at} \quad I_C = \frac{kT}{e} C \sqrt{\beta_{DC}} \sqrt{\frac{F_v}{F_i} \frac{1}{T_S}}, \quad (28.29)$$

where  $\beta_{DC}$  is the DC current gain. For a  $CR$ - $RC$  shaper and  $\beta_{DC} = 100$ ,

$$Q_{n,\min}/e \approx 250 \sqrt{C/\text{pF}}. \quad (28.30)$$

Practical noise levels range from  $\sim 1e$  for CCDs at long shaping times to  $\sim 10^4 e$  in high-capacitance liquid argon calorimeters. Silicon strip detectors typically operate at  $\sim 10^3 e$  electrons, whereas pixel detectors with fast readout provide noise of several hundred electrons.

In timing measurements, the slope-to-noise ratio must be optimized, rather than the signal-to-noise ratio alone, so the rise time  $t_r$  of the pulse is important. The “jitter”  $\sigma_t$  of the timing distribution is

$$\sigma_t = \frac{\sigma_n}{(dS/dt)_{S_T}} \approx \frac{t_r}{S/N}, \quad (28.31)$$

where  $\sigma_n$  is the rms noise and the derivative of the signal  $dS/dt$  is evaluated at the trigger level  $S_T$ . To increase  $dS/dt$  without incurring excessive noise, the amplifier bandwidth should match the rise-time of the detector signal. The 10 to 90% rise time of an amplifier with bandwidth  $f_U$  is  $0.35/f_U$ . For example, an oscilloscope with 350 MHz bandwidth has a 1 ns rise time. When amplifiers are cascaded, which is invariably necessary, the individual rise times add in quadrature.

$$t_r \approx \sqrt{t_{r1}^2 + t_{r2}^2 + \dots + t_{rn}^2}$$

Increasing signal-to-noise ratio also improves time resolution, so minimizing the total capacitance at the input is also important. At high signal-to-noise ratios, the time jitter can be much smaller than the rise time. The timing distribution may shift with signal level (“walk”), but this can be corrected by various means, either in hardware or software [9].

For a more detailed introduction to detector signal processing and electronics see Ref. 112.

## 28.10. Calorimeters

A calorimeter is designed to measure the energy deposited in a contained electromagnetic (EM) or hadronic shower. The characteristic interaction distance for an electromagnetic interaction is the radiation length  $X_0$ , which ranges from 13.8 g cm<sup>-2</sup> in iron to 6.0 g cm<sup>-2</sup> in uranium.\* Similarly, the characteristic nuclear interaction length  $\lambda_I$  varies from 132.1 g cm<sup>-2</sup> (Fe) to 209 g cm<sup>-2</sup> (U).† In either case, the calorimeter must be many interaction lengths deep, where “many” is determined by physical size, cost, and other factors. EM calorimeters tend to be 15–30  $X_0$  deep, while hadronic calorimeters are usually compromised at 5–8  $\lambda_I$ . Moreover, in a real experiment there is likely to be an EM calorimeter in front of the hadronic section, and perhaps a more poorly sampling catcher in the back, so the hadronic cascade is contained in a succession of different structures. In all cases there is a premium on high density, to contain the shower as compactly as possible, and, especially in the EM case, high atomic number.

There are homogeneous and sampling calorimeters. In a homogeneous calorimeter the entire volume is sensitive, *i.e.*, contributes signal. Homogeneous calorimeters (usually electromagnetic) may be built with inorganic heavy (high- $Z$ ) scintillating crystals such as BGO, CsI, NaI, and PWO, non-scintillating Cherenkov radiators such as lead glass and

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\* See [pdg.lbl.gov/AtomicNuclearProperties](http://pdg.lbl.gov/AtomicNuclearProperties) for values.

†  $\lambda_I \approx 35 \text{ g cm}^{-2} A^{1/3}$ .

lead fluoride, or ionizing noble liquids. Properties of commonly used inorganic crystal scintillators can be found in Table 28.4. A sampling calorimeter consists of an active medium which generates signal and a passive medium which functions as an absorber. The active medium may be a scintillator, an ionizing noble liquid, a gas chamber, a semiconductor, or a Cherenkov radiator. The passive medium is usually a material of high density, such as lead, iron, copper, or depleted uranium.

**28.10.1. *Electromagnetic calorimeters*** : Written August 2003 by R.-Y. Zhu (California Inst. of Technology).

The development of electromagnetic showers is discussed in the section on “Passage of Particles Through Matter” (Sec. 27 of this *Review*).

Formulae are given which approximately describe average showers, but since the physics of electromagnetic showers is well understood, detailed and reliable Monte Carlo simulation is possible. EGS4 [122] and GEANT [123] have emerged as the standards.

The energy resolution  $\sigma_E/E$  of a calorimeter can be parametrized as  $a/\sqrt{E} \oplus b \oplus c/E$ , where  $\oplus$  represents addition in quadrature and  $E$  is in GeV. The stochastic term  $a$  represents statistics-related fluctuations such as intrinsic shower fluctuations, photoelectron statistics, dead material at the front of the calorimeter, and sampling fluctuations. For a fixed number of radiation lengths, the stochastic term  $a$  for a sampling calorimeter is expected to be proportional to  $\sqrt{t/f}$ , where  $t$  is plate thickness and  $f$  is sampling fraction [124,125]. While  $a$  is at a few percent level for a homogeneous calorimeter, it is typically 10% for sampling calorimeters. The main contributions to the systematic, or constant, term  $b$  are detector non-uniformity and calibration uncertainty. In the case of the hadronic cascades discussed below, non-compensation also contributes to the constant term. One additional contribution to the constant term for calorimeters built for modern high-energy physics experiments, operated in a high-beam intensity environment, is radiation damage of the active medium. This can be minimized by developing radiation-hard active media [44] and by frequent *in situ* calibration and monitoring [43,125]. With effort, the constant term  $b$  can be reduced to below one percent. The term  $c$  is due to electronic noise summed over readout channels within a few Molière radii. The best energy resolution for electromagnetic shower measurement is obtained in total absorption homogeneous calorimeters, *e.g.* calorimeters built with heavy crystal scintillators. These are used when ultimate performance is pursued.

The position resolution depends on the effective Molière radius and the transverse granularity of the calorimeter. Like the energy resolution, it can be factored as  $a/\sqrt{E} \oplus b$ , where  $a$  is a few to 20 mm and  $b$  can be as small as a fraction of mm for a dense calorimeter with fine granularity. Electromagnetic calorimeters may also provide direction measurement for electrons and photons. This is important for photon-related physics when there are uncertainties in event origin, since photons do not leave information in the particle tracking system. Typical photon angular resolution is about  $45 \text{ mrad}/\sqrt{E}$ , which can be provided by implementing longitudinal segmentation [126] for a sampling calorimeter or by adding a preshower detector [127] for a homogeneous calorimeter without longitudinal segmentation.

Novel technologies have been developed for electromagnetic calorimetry. New heavy

crystal scintillators, such as PWO, LSO:Ce, and GSO:Ce (see Sec. 28.4), have attracted much attention for homogeneous calorimetry. In some cases, such as PWO, it has received broad applications in high-energy and nuclear physics experiments. The “spaghetti” structure has been developed for sampling calorimetry with scintillating fibers as the sensitive medium. The “accordion” structure has been developed for sampling calorimetry with ionizing noble liquid as the sensitive medium. Table 28.7 provides a brief description of typical electromagnetic calorimeters built recently for high-energy physics experiments. Also listed in this table are calorimeter depths in radiation lengths ( $X_0$ ) and the achieved energy resolution. Whenever possible, the performance of calorimeters *in situ* is quoted, which is usually in good agreement with prototype test beam results as well as EGS or GEANT simulations, provided that all systematic effects are properly included. Detailed references on detector design and performance can be found in Appendix C of reference [125] and Proceedings of the International Conference series on Calorimetry in Particle Physics.

**28.10.2. Hadronic calorimeters :** [125,128] The length scale appropriate for hadronic cascades is the nuclear interaction length, given very roughly by

$$\lambda_I \approx 35 \text{ g cm}^{-2} A^{1/3} . \quad (28.32)$$

Longitudinal energy deposition profiles are characterized by a sharp peak near the first interaction point (from the fairly local deposition of EM energy resulting from  $\pi^0$ 's produced in the first interaction), followed by a more gradual development with a maximum at

$$x/\lambda_I \equiv t_{\max} \approx 0.2 \ln(E/1 \text{ GeV}) + 0.7 \quad (28.33)$$

as measured from the front of the detector.

The depth required for containment of a fixed fraction of the energy also increases logarithmically with incident particle energy. The thickness of iron required for 95% (99%) containment of cascades induced by single hadrons is shown in Fig. 28.13 [129]. Two of the sets of data are from large neutrino experiments, while the third is from a commonly-used parameterization. Depths as measured in nuclear interaction lengths presumably scale to other materials. From the same data it can be concluded that the requirement that 95% of the energy in 95% of the showers be contained requires 40 to 50 cm (2.4 to 3.0  $\lambda_I$ ) more material than for an average 95% containment. The transverse dimensions of hadronic showers also scale as  $\lambda_I$ , although most of the energy is contained in a narrow core.

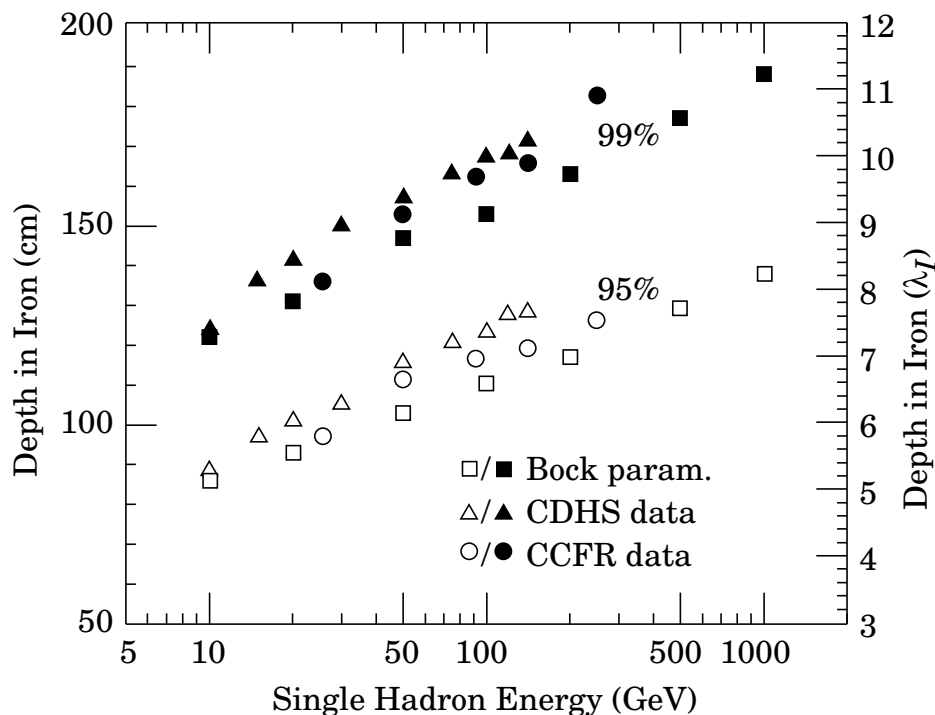
The energy deposit in a hadronic cascade consists of a prompt EM component due to  $\pi^0$  production and a somewhat slower component mainly due to low-energy hadronic activity. An induction argument verified by Monte-Carlo simulations has shown that the fraction of hadronic energy in a cascade is  $(E/E_0)^{m-1}$ , where  $0.80 \lesssim m \lesssim 0.85$  [130].  $E_0$  is about 1 GeV for incident pions, and the power-law description is approximately valid for incident energy  $E$  greater than a few tens of GeV. In general, the electromagnetic and hadronic energy depositions are converted to electrical signals with different efficiencies. The ratio of the conversion efficiencies is usually called the intrinsic  $e/h$  ratio. It follows

**Table 28.7:** Resolution of typical electromagnetic calorimeters.  $E$  is in GeV.

Technology (Experiment)	Depth	Energy resolution	Date
NaI(Tl) (Crystal Ball)	$20X_0$	$2.7\%/E^{1/4}$	1983
Bi <sub>4</sub> Ge <sub>3</sub> O <sub>12</sub> (BGO) (L3)	$22X_0$	$2\%/\sqrt{E} \oplus 0.7\%$	1993
CsI (KTeV)	$27X_0$	$2\%/\sqrt{E} \oplus 0.45\%$	1996
CsI(Tl) (BaBar)	$16\text{--}18X_0$	$2.3\%/E^{1/4} \oplus 1.4\%$	1999
CsI(Tl) (BELLE)	$16X_0$	1.7% for $E_\gamma > 3.5$ GeV	1998
PbWO <sub>4</sub> (PWO) (CMS)	$25X_0$	$3\%/\sqrt{E} \oplus 0.5\% \oplus 0.2/E$	1997
Lead glass (OPAL)	$20.5X_0$	$5\%/\sqrt{E}$	1990
Liquid Kr (NA48)	$27X_0$	$3.2\%/\sqrt{E} \oplus 0.42\% \oplus 0.09/E$	1998
Scintillator/depleted U (ZEUS)	$20\text{--}30X_0$	$18\%/\sqrt{E}$	1988
Scintillator/Pb (CDF)	$18X_0$	$13.5\%/\sqrt{E}$	1988
Scintillator fiber/Pb spaghetti (KLOE)	$15X_0$	$5.7\%/\sqrt{E} \oplus 0.6\%$	1995
Liquid Ar/Pb (NA31)	$27X_0$	$7.5\%/\sqrt{E} \oplus 0.5\% \oplus 0.1/E$	1988
Liquid Ar/Pb (SLD)	$21X_0$	$8\%/\sqrt{E}$	1993
Liquid Ar/Pb (H1)	$20\text{--}30X_0$	$12\%/\sqrt{E} \oplus 1\%$	1998
Liquid Ar/depl. U (DØ)	$20.5X_0$	$16\%/\sqrt{E} \oplus 0.3\% \oplus 0.3/E$	1993
Liquid Ar/Pb accordion (ATLAS)	$25X_0$	$10\%/\sqrt{E} \oplus 0.4\% \oplus 0.3/E$	1996

in the power-law approximation the ratio of the responses for incident pions and incident electrons is given by “ $\pi/e$ ” =  $1 - (1 - h/e)(E/E_0)^{m-1}$ . With or without the power-law approximation the response for pions is not a linear function of energy for  $e/h \neq 1$ . (But in any case, as the energy increases a larger and larger fraction of the energy is transferred to  $\pi^0$ ’s, and “ $\pi/e$ ”  $\rightarrow 1$ .) If  $e/h = 1.0$  the calorimeter is said to be *compensating*. If  $e/h$  differs from unity by more than 5% or 10%, detector performance is compromised because of fluctuations in the  $\pi^0$  content of the cascades. This results in (a) a skewed signal distribution and (b) an almost-constant contribution to detector resolution which is proportional to the degree of noncompensation  $|1 - h/e|$ . The coefficient relating the size of the constant term to  $|1 - h/e|$  is 14% according to FLUKA simulations [130], and 21% according to Wigmans’ calculations [131]. (Wigmans now prefers a different approach to the “constant term” [125]. )





**Figure 28.13:** Required calorimeter thickness for 95% and 99% hadronic cascade containment in iron, on the basis of data from two large neutrino detectors and the parameterization of Bock *et al.* [129].

The formula for “ $\pi/e$ ” given above is valid for a large uniform calorimeter. Real calorimeters usually have an EM front structure which is different, and so modifications must be made in modeling the response.

In most cases  $e/h$  is greater than unity, particularly if little hydrogen is present or if the gate time is short. This is because much of the low-energy hadronic energy is “hidden” in nuclear binding energy release, low-energy spallation products, *etc.* Partial correction for these losses occurs in a sampling calorimeter with high- $Z$  absorbers, because a disproportionate fraction of electromagnetic energy is deposited in the inactive region. For this reason, a fully sensitive detector such as scintillator or glass cannot be made compensating.

The average electromagnetic energy fraction in a high-energy cascade is smaller for incident protons than for pions;  $E_0 \approx 2.6$  GeV rather than  $\approx 1$  GeV. As a result “ $\pi/e$ ” > “ $p/e$ ” (if  $e/h > 1$ ) in a noncompensating calorimeter [130]. This difference has now been measured [132].

Circa 1990 compensation was thought to be very important in hadronic calorimeter design. Motivated very much by the work of Wigmans [131], several calorimeters were built with  $e/h \approx 1 \pm 0.02$ . These include

- ZEUS [133] 2.6 cm thick scintillator sheets sandwiched between 3.3 mm depleted uranium plates; a resolution of  $0.35/\sqrt{E}$  was obtained;
- ZEUS prototype study [134], with 10 mm lead plates and 2.5 mm scintillator sheets;

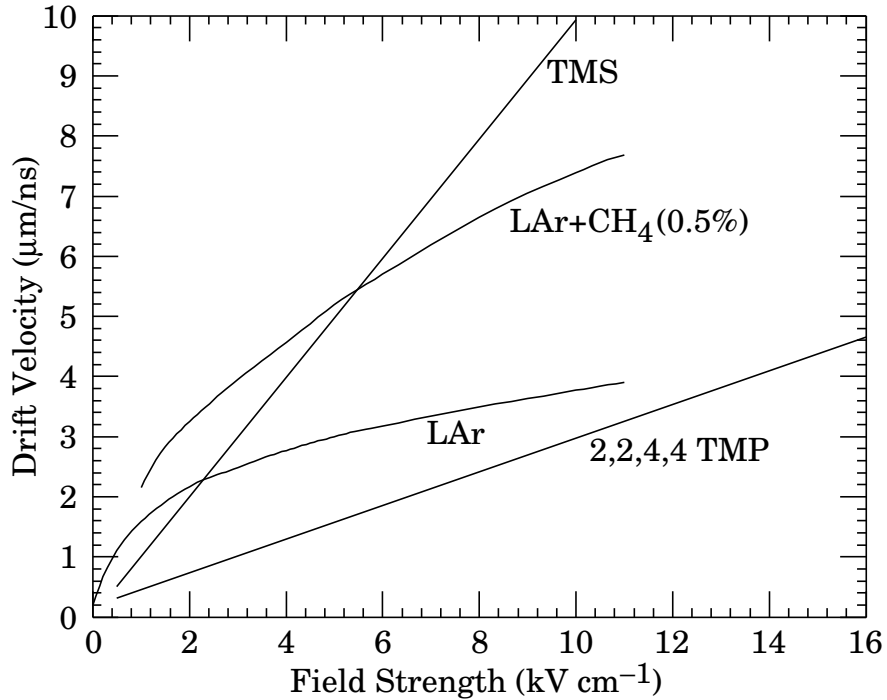
$$0.44/\sqrt{E};$$

- D0 [135], where the sandwich cell consists of a 4–6 mm thick depleted uranium plate, 2.3 mm LAr, a G-10 signal board, and another 2.3 mm LAr gap;  $45\%/\sqrt{E}$ .

Approximately Gaussian signal distributions were observed.

More recently, compensation has not been considered as important, and, in addition, the new generation of calorimeters for LHC experiments operate in a different energy regime and can tolerate poorer resolution in return for simpler, deeper structures. For example, the ATLAS endcaps consist of iron plates with scintillating fiber readout [136]. The fraction of the structure consisting of low- $Z$  active region (scintillator in this case) is much smaller than would be necessary to achieve compensation. Test beam results with these modules show a resolution of  $\approx 46\%/\sqrt{E}$ , and  $e/h = 1.5$ – $1.6$ .

**28.10.3. Free electron drift velocities in liquid ionization sensors :** Velocities as a function of electric field strength are given in Refs. 137–140 and are plotted in Fig. 28.14. Recent precise measurements of the free electron drift velocity in LAr have been published by W. Walkowiak [141]. These measurements were motivated by the design of the ATLAS electromagnetic calorimeter and inconsistencies in the previous literature. Velocities are systematically higher than those shown in Fig. 28.14.



**Figure 28.14:** Electron drift velocity as a function of field strength for commonly used liquids.

### 28.11. Superconducting magnets for collider detectors

Revised September 2005 by A. Yamamoto (KEK); revised October 2001 by R.D. Kephart (FNAL)

#### 28.11.1. Solenoid Magnets :

In all cases SI unit are assumed, so that the magnetic field,  $B$ , is in Tesla, the stored energy,  $E$ , is in joules, the dimensions are in meters, and  $\mu_0 = 4\pi \times 10^{-7}$ .

The magnetic field ( $B$ ) in an ideal solenoid with a flux return iron yoke, in which the magnetic field is  $< 2$  T, is given by

$$B = \mu_0 n I \quad (28.34)$$

where  $n$  is the number of turns/meter and  $I$  is the current. In an air-core solenoid, the central field is given by

$$B(0,0) = \mu_0 n I \frac{L}{\sqrt{L^2 + 4R^2}} , \quad (28.35)$$

where  $L$  is the coil length and  $R$  is the coil radius.

In most cases, momentum analysis is made by measuring the circular trajectory of the passing particles according to  $p = mv\gamma = q r B$ , where  $p$  is the momentum,  $m$  the mass,  $q$  the charge,  $r$  the bending radius. The sagitta,  $s$ , of the trajectory is given by

$$s = q B \ell^2 l / 8p , \quad (28.36)$$

where  $\ell$  is the path length in the magnetic field. In a practical momentum measurement in colliding beam detectors, it is more effective to increase the magnetic volume than the field strength, since

$$dp/p \propto p/B \ell^2 , \quad (28.37)$$

where  $\ell$  corresponds to the solenoid coil radius  $R$ .

The energy stored in the magnetic field of any magnet is calculated by integrating  $B^2$  over all space:

$$E = \frac{1}{2\mu_0} \int B^2 dV \quad (28.38)$$

If the coil thin, (which is the case if it is to superconducting coil), then

$$E \approx (B^2/2\mu_0)\pi R^2 L . \quad (28.39)$$

For a detector in which the calorimetry is outside the aperture of the solenoid, the coil must be thin in terms of radiation and absorption lengths. This usually means that the coil is superconducting and that the vacuum vessel encasing it is of minimum real thickness and fabricated of a material with long radiation length. There are two major contributors to the thickness of a thin solenoid:

- 1) The conductor consisting of the current-carrying superconducting material (usually NbTi/Cu) and the quench protecting stabilizer (usually aluminum) are wound on the

inside of a structural support cylinder (usually aluminum also). The coil thickness scales as  $B^2 R$ , so the thickness in radiation lengths ( $X_0$ ) is

$$t_{\text{coil}}/X_0 = (R/\sigma_h X_0)(B^2/2\mu_0) , \quad (28.40)$$

where  $t_{\text{coil}}$  is the physical thickness of the coil,  $X_0$  the average radiation length of the coil/stabilizer material, and  $\sigma_h$  is the hoop stress in the coil [142].  $B^2/2\mu_0$  is the magnetic pressure. In large detector solenoids, the aluminum stabilizer and support cylinders dominate the thickness; the superconductor (NbTi/Cu) contributes a smaller fraction. The coil package including the cryostat typically contributes about 2/3 of the total thickness in radiation lengths.

- 2) Another contribution to the material comes from the outer cylindrical shell of the vacuum vessel. Since this shell is susceptible to buckling collapse, its thickness is determined by the diameter, length and the modulus of the material of which it is fabricated. The outer vacuum shell represents about 1/3 of the total thickness in radiation length.

### 28.11.2. *Properties of collider detector magnets :*

The physical dimensions, central field stored energy and thickness in radiation lengths normal to the beam line of the superconducting solenoids associated with the major collider are given in Table 28.8 [144]. Fig. 28.15 shows thickness in radiation lengths as a function of  $B^2 R$  in various collider detector solenoids.

The ratio of stored energy to cold mass ( $E/M$ ) is a useful performance measure. It can also be expressed as the ratio of the stress,  $\sigma_h$ , to twice the equivalent density,  $\rho$ , in the coil [142]:

$$\frac{E}{M} = \frac{\int (B^2/2\mu_0) dV}{\rho V_{\text{coil}}} \approx \frac{\sigma_h}{2\rho} \quad (28.41)$$

The  $E/M$  ratio in the coil is approximately equivalent to  $H$ ,\* the enthalpy of the coil, and it determines the average coil temperature rise after energy absorption in a quench:

$$E/M = H(T_2) - H(T_1) \approx H(T_2) \quad (28.42)$$

where  $T_2$  is the average coil temperature after the full energy absorption in a quench, and  $T_1$  is the initial temperature.  $E/M$  ratios of 5, 10, and 20 kJ/kg correspond to  $\sim 65$ ,  $\sim 80$ , and  $\sim 100$  K, respectively. The  $E/M$  ratios of various detector magnets are shown in Fig. 28.16 as a function of total stored energy. One would like the cold mass to be as small as possible to minimize the thickness, but temperature rise during a quench must also be minimized. An  $E/M$  ratio as large as 12 kJ/kg is designed into the CMS solenoid, with the possibility that about half of the stored energy can go to an external dump resistor. Thus the coil temperature can be kept below 80 K if the energy extraction system work well. The limit is set by the maximum temperature that the coil design can tolerate during a quench. This maximum local temperature should be  $<130$  K (50 K + 80 K), so that thermal expansion effects in the coil are manageable.

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\* The enthalpy, or heat content, is called  $H$  in the thermodynamics literature. It is not to be confused with the magnetic field intensity  $B/\mu$ .

**Table 28.8:** Progress of superconducting magnets for particle physics detectors.

Experiment	Laboratory	$B$ [T]	Radius [m]	Length [m]	Energy [MJ]	$X/X_0$	$E/M$ [kJ/kg]
TOPAZ*	KEK	1.2	1.45	5.4	20	0.70	4.3
CDF	Tsukuba/Fermi	1.5	1.5	5.07	30	0.84	5.4
VENUS*	KEK	0.75	1.75	5.64	12	0.52	2.8
AMY*	KEK	3	1.29	3	40	‡	
CLEO-II	Cornell	1.5	1.55	3.8	25	2.5	3.7
ALEPH*	Saclay/CERN	1.5	2.75	7.0	130	2.0	5.5
DELPHI*	RAL/CERN	1.2	2.8	7.4	109	1.7	4.2
ZEUS*	INFN/DESY	1.8	1.5	2.85	11	0.9	5.5
H1*	RAL/DESY	1.2	2.8	5.75	120	1.8	4.8
BaBar	INFN/SLAC	1.5	1.5	3.46	27	‡	3.6
D0	Fermi	2.0	0.6	2.73	5.6	0.9	3.7
BELLE	KEK	1.5	1.8	4	42	‡	5.3
BES-III†	IHEP	1.0	1.475	3.5	9.5	‡	2.6
ATLAS-CS†	ATLAS/CERN	2.0	1.25	5.3	38	0.66	7.0
ATLAS-BT†	ATLAS/CERN	1	4.7–9.75	26	1080	(Toroid)	
ATLAS-ET†	ATLAS/CERN	1	0.825–5.35	5	$2 \times 250$	(Toroid)	
CMS†	CMS/CERN	4	6	12.5	2600	‡	12

\* No longer in service

† Detector under construction

‡ EM calorimeter is inside solenoid, so small  $X/X_0$  is not a goal**28.11.3. Toroidal magnets :**

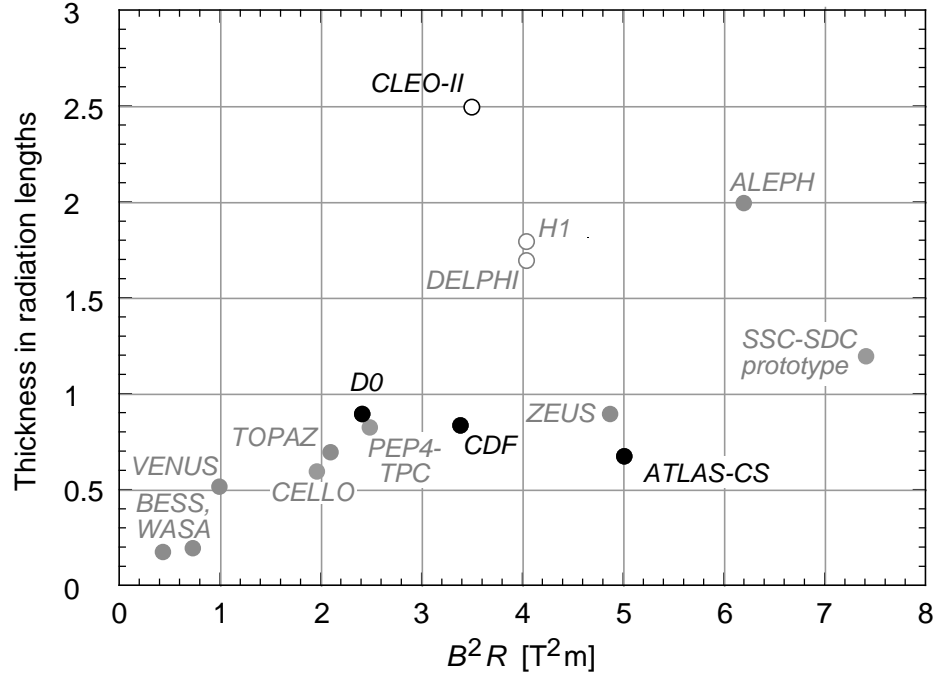
Toroidal coils uniquely provide a closed magnetic field without the necessity of an iron flux-return yoke. Because no field exists at the collision point and along the beam line, there is, in principle, no effect on the beam. On the other hand, the field profile generally has  $1/r$  dependence. The particle momentum may be determined by measurements of the deflection angle combined with the sagitta. The deflection (bending) power  $BL$  is

$$BL \approx \int_{R_i}^{R_0} \frac{B_i R_i dR}{R \sin \theta} = \frac{B_i R_i}{\sin \theta} \ln(R_0/R_i) , \quad (28.43)$$

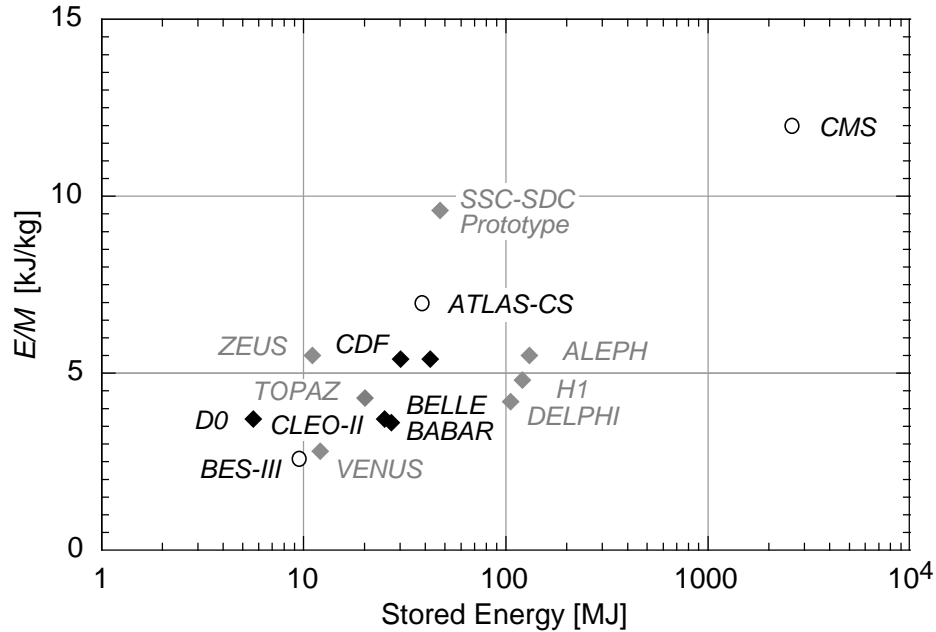
where  $R_i$  is the inner coil radius,  $R_0$  is the outer coil radius, and  $\theta$  is the angle between the particle trajectory and the beam line axis. The momentum resolution given by the deflection may be expressed as

$$\frac{\Delta p}{p} \propto \frac{p}{BL} \approx \frac{p \sin \theta}{B_i R_i \ln(R_0/R_i)} . \quad (28.44)$$

The momentum resolution is better in the forward/backward (smaller  $\theta$ ) direction. The geometry has been found to be optimal when  $R_0/R_i \approx 3$ –4. In practical designs, the coil



**Figure 28.15:** Magnet wall thickness in radiation length as a function of  $B^2R$  for various detector solenoids. Gray entries are for magnets not listed in Table 28.8. Open circles are for magnets not designed to be “thin.” The SSC-SDC prototype provided important R&D for LHC magnets.



**Figure 28.16:** Ratio of stored energy to cold mass for thin detector solenoids. Open circles indicate magnets under construction.

is divided into 6–12 lumped coils in order to have reasonable acceptance and accessibility.

This causes the coil design to be much more complex. The mechanical structure needs to sustain the decentering force between adjacent coils, and the peak field in the coil is 3–5 times higher than the useful magnetic field for the momentum analysis [143].

### 28.12. Measurement of particle momenta in a uniform magnetic field [145,146]

The trajectory of a particle with momentum  $p$  (in GeV/ $c$ ) and charge  $ze$  in a constant magnetic field  $\vec{B}$  is a helix, with radius of curvature  $R$  and pitch angle  $\lambda$ . The radius of curvature and momentum component perpendicular to  $\vec{B}$  are related by

$$p \cos \lambda = 0.3 z B R , \quad (28.45)$$

where  $B$  is in tesla and  $R$  is in meters.

The distribution of measurements of the curvature  $k \equiv 1/R$  is approximately Gaussian. The curvature error for a large number of uniformly spaced measurements on the trajectory of a charged particle in a uniform magnetic field can be approximated by

$$(\delta k)^2 = (\delta k_{\text{res}})^2 + (\delta k_{\text{ms}})^2 , \quad (28.46)$$

where  $\delta k$  = curvature error

$\delta k_{\text{res}}$  = curvature error due to finite measurement resolution

$\delta k_{\text{ms}}$  = curvature error due to multiple scattering.

If many ( $\geq 10$ ) uniformly spaced position measurements are made along a trajectory in a uniform medium,

$$\delta k_{\text{res}} = \frac{\epsilon}{L'^2} \sqrt{\frac{720}{N+4}} , \quad (28.47)$$

where  $N$  = number of points measured along track

$L'$  = the projected length of the track onto the bending plane

$\epsilon$  = measurement error for each point, perpendicular to the trajectory.

If a vertex constraint is applied at the origin of the track, the coefficient under the radical becomes 320.

For arbitrary spacing of coordinates  $s_i$  measured along the projected trajectory and with variable measurement errors  $\epsilon_i$  the curvature error  $\delta k_{\text{res}}$  is calculated from:

$$(\delta k_{\text{res}})^2 = \frac{4}{w} \frac{V_{ss}}{V_{ss}V_{s^2s^2} - (V_{ss^2})^2} , \quad (28.48)$$

where  $V$  are covariances defined as  $V_{s^m s^n} = \langle s^m s^n \rangle - \langle s^m \rangle \langle s^n \rangle$  with  $\langle s^m \rangle = w^{-1} \sum (s_i^m / \epsilon_i^2)$  and  $w = \sum \epsilon_i^{-2}$ .

The contribution due to multiple Coulomb scattering is approximately

$$\delta k_{\text{ms}} \approx \frac{(0.016)(\text{GeV}/c)z}{Lp\beta \cos^2 \lambda} \sqrt{\frac{L}{X_0}} , \quad (28.49)$$

where  $p$  = momentum (GeV/ $c$ )

$z$  = charge of incident particle in units of  $e$

$L$  = the total track length

$X_0$  = radiation length of the scattering medium (in units of length; the  $X_0$  defined elsewhere must be multiplied by density)

$\beta$  = the kinematic variable  $v/c$ .

More accurate approximations for multiple scattering may be found in the section on Passage of Particles Through Matter (Sec. 27 of this *Review*). The contribution to the curvature error is given approximately by  $\delta k_{\text{ms}} \approx 8s_{\text{plane}}^{\text{rms}}/L^2$ , where  $s_{\text{plane}}^{\text{rms}}$  is defined there.

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